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2024

长江商学院
教授简介



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长江商学院



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前言

曹辉宁

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研究院主任



长江商学院致力成为世界一流的研究型商学院。自 2002 年创办至今，在李嘉诚基金会的鼎力支持下，长江商学院以学术研究为驱动力，为学院的学术研究和教学创新提供了良好的氛围，这也吸引着越来越多的教授和学者加盟到长江商学院中来。

长江商学院立足中国企业的现状，锁定中国企业面临的各种机遇与挑战，致力于研究全球化视野下中国企业的制胜之道。教授们把相关研究理论生动地融入到课堂教学，通过中国企业战略管理、中国金融市场及领导者伦理等一系列课程，使得参与课程的企业家和管理者能从中国本土的商业案例得到启发。

目前，长江商学院的学术研究水平在新兴市场国家商学院中处于领先地位。我们正在与世界顶尖学校合作来扩大我们的研究，合作范围覆盖亚洲，包括韩国、日本、东南亚和俄罗斯等国家并辐射全球。

在长江商学院，教授学者们致力于高质量、高影响力的学术研究，这些以原创、深刻、创新为本的学术研究使我们名列于世界一流商学院的行伍中。我们教授的研究成果经常被发表在世界一流的学术期刊上，涵盖了所有商业领域的分支学科。严谨的学术研究为学院教学提供了强有力的支持——拓展理论研究能保证教授们将前沿的学术观点融入课堂教学，并运用于解决复杂多变的商业问题。

长江商学院 教授概况



长江商学院正是凭借“教授治校”的独特理念和体制，在与世界顶尖商学院争夺管理学教授这批世界稀缺资源的竞争中，取得了不平凡的成就，汇聚了一批重量级的研究型学者。

在“为中国打造世界级商学院”和“为中国培养世界级企业家”的这一使命的感召下，一批海外知名华人管理学教授，纷纷抛下美、欧、亚著名商学院优越环境和待遇，加盟长江，常驻中国。

这样顶级的商学院教授阵容在整个亚太地区无疑是最强而且最有国际学术影响力的。

目前长江拥有的40多位常驻教授学者，曾执教于芝加哥大学、斯坦福大学、宾夕法尼亚大学、加州大学伯克利分校、加州大学洛杉矶分校、哥伦比亚大学、康奈尔大学、卡内基梅隆大学、西北大学、加州大学圣迭亚哥分校、耶鲁大学、麻省理工学院、纽约大学、达顿商学院、德州大学奥斯汀分校、北卡教堂山分校、罗彻斯特大学、华盛顿大学、普林斯顿大学、明尼苏达大学、欧洲工商管理学院等欧、美和亚洲的世界著名商学院。长江商学院部分教授是在各自研究领域里世界级的讲座教授和学术领路人，在国际上最前沿的学术杂志上担任主编或副主编等重要职务。不仅通晓西方管理理论，在学术研究方面思路开阔，观点新颖，建立了一系列经典的普适性的商业理论框架并给予充分的实践论证，得到国际学术界的广泛认同和引用，而且通透了解中国及大中华地区的管理实践，积极进行前瞻性、原创性、实用性的课题研究，逐步形成对中国管理实践行之有效的新视野、新思路和新对策。长江商学院的教授阵容，其整体实力在整个亚太地区商学院的学术研究中独占鳌头。



常驻教授



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曹辉宁教授现为长江商学院金融学教授，美国财务学会会员，曾任教于加州大学伯克利分校、北卡罗来纳大学 Chapel Hill 分校。在过去几年中，曹博士在国际著名期刊 *Journal of Finance*、*Review of Financial Studies*、*Journal of Financial Economics* 发表多篇论文，并被大量引用；曾两次获得 *Journal of Finance* 的最佳论文提名（1998 年和 2000 年）；曾获 *Northern Finance Association* 评选的新兴市场领域最佳论文奖；曾获 *Western Finance Association* 评选的最有投资价值的最佳论文奖；在 2004 中国金融国际年会上获得最佳论文三等奖；任 *Annals of Economics and Finance* 的编委会成员及 *International Financial Review* 和 *China Financial Review* 的主编。

主要研究领域

- 投资组合管理
- 期权定价
- 资产定价
- 市场微观结构
- 国际财务等

主要学术成果

- 1 “How Does Competition Affect Exploration vs. Exploitation? A Tale of Two Recommendation Algorithms.”, Henry Cao, Liye Ma, Eddie Ning and Baohong Sun, *Management Science*, Volume 70, Issue 2, February 2024, Pages v-vi, 671-1342, iii-iv.
- 2 “Disclosure, Learning and Coordination,” with Y. Ma, Dongyan Ye, 2022, *Quarterly Journal of Economics and Management*, Vol. 1.
- 3 “Transaction Risk, Derivative Assets, and Equilibrium”, with Dongyan Ye, *Quarterly Journal of Finance*, 6(01), 1650001, 2016.

- 4 “Taking The Road Less Traveled By: Does Conversation Eradicate Pernicious Cascades?” with Hirshleifer, D., *Journal of Economic Theory*, Vol.146, July 2011.

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- 7 “Inventory Information”, with Evans, M. and Lyons, R., *Journal of Business*, vol. 79, 2006.

- 8 “Model Uncertainty, Limited Market Participation and Asset Prices”, with Wang, T. and Zhang, H. H., *Review of Financial Studies*, 2005.

- 9 “The Dynamics of International Equity Market Expectations”, with Brennan, M. J., Strong, N. and Xu, X., *Journal of Financial Economics*, 2005.

- 10 “Product Strategy for Innovators in Markets with Network Effects”, with Sun, B. and Xie, J., *Marketing Science*, 2004.

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- 12 “Imperfect Competition among Informed Traders”, with Back, K. and Willard, G., *Journal of Finance*, vol. 5, 2000. (Nominated for Smith-Breeden Prize.)

- 13 “The Effect of Derivative Assets on Endogenous Information Acquisition and Price Behavior in a Rational Expectations Equilibrium”, *Review of Financial Studies*, vol. 12, 1999.

- 14 “International Portfolio Investment Flows”, with Michael J. Brennan, *Journal of Finance*, 1997, 52, 1851-1880, Nominated for Smith-Breeden Prize. Best paper award in emerging market research at NFA. Reprinted in International Library of Critical Writings in Financial Economics, Edited by Richard Roll.

- 15 “Information, Trade, and Derivative Securities”, with Michael J. Brennan, *Review of Financial Studies*, 1996, 9, 163-208.



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陈宏是长江商学院创始教授之一，美洲市场副院长、清洁能源与碳中和发展研究中心主任。陈宏教授曾经是加拿大英属哥伦比亚大学商学院供应链管理讲席教授，上海高级金融学院管理科学教授。陈宏教授是国家“千人计划”和“国家特聘专家”获得者。他是斯坦福大学博士、哈佛大学博士后。陈教授近年研究主要关注清洁能源与碳中和发展，特别是氢能源全产业链市场、技术和投资。

陈宏教授曾任西安交通大学管理学院院长、长江商学院学术副院长、上海高级金融学院学位项目副院长和 DBA（工商管理博士）项目创办主任。他是清华大学经济管理学院首批特聘教授，曾在中欧国际工商学院、香港科技大学、斯坦福大学、佐治亚理工学院及香港中文大学做访问教授 / 学者。

陈宏教授曾在世界一流学术期刊发表文章，连续多年入围爱思唯尔发布的“中国高被引学者榜单”。陈宏教授曾获美国科学基金会颁发的工程预研奖以及不列颠哥伦比亚大学尚德商学院颁发的杰出教授研究奖、INFORMS 颁发的 George Nicholson Prize。他的研究获得多个国家基金（包括美国、加拿大、香港、中国）资助；包括国家自然科学基金海外杰出青年奖。

陈宏教授撰写了大量中国供应链管理教学案例、清洁能源、医疗健康管理案例及文章，陈宏教授曾担任应用概率学会主席（这是一个全球最权威的应用概率方面的学会）。同时他也为美的、神州数码、联想、李宁、TCL、青岛啤酒、中国联通等多家北美与中国大陆知名企业提供咨询服务。他现任山东能源集团外部董事，氢阳能源高级顾问。

主要研究领域

- 清洁能源尤其是氢能源市场与投资
- 碳中和发展
- 运营与供应链管理
- 健康医疗管理
- 公司金融
- 排队网络理论与应用

主要学术成果

BOOK

- 1 H. Chen and D.D. Yao, *Fundamentals of Queuing Networks: Performance, Asymptotics and Optimization*, Springer-Verlag, New York, 2001.
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- 1 H. Chen, C. Tong and L. Bai, "The Lenovo Duo Model" (in Chinese), *Harvard Business Review (China Edition)*, 82-92, January 2009.
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- 3 Chen and X. Shen, "Strong approximation for multiclass feedforward queueing networks", *Annals of Applied Probability*, 10, 3, 822-876 (2000).
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- 15 Chen, M. Frank and O. Wu, "What actually happened to the Inventories of American Companies between 1981-2000?", *Management Science*, 51, 7, 1015-1031 (2005).

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- 17 Chao, H. Chen, and S. Zheng, "Joint replenishment and pricing decisions in inventory systems with stochastically dependent supply capacity", *European Journal of Operations Research*, 191, 140-153 (2008).

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- 20 Wu and Chen, "Optimal control and equilibrium behavior of production-inventory systems", *Management Science*, 56, 9, 1362-1379 (2010).
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- 21 Chen and H. Ye, "Asymptotic optimality of balance routing", *Operations Research*, 60, 163-179 (2012).
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陈歆磊教授现为长江商学院市场营销学教授。加入长江之前曾任上海交通大学上海高级金融学院以及长江商学院市场营销学教授、加拿大英属哥伦比亚大学尚德商学院（Sauder School of Business, University of British Columbia）市场营销系副教授及 Finning Junior 讲席教授。他在 1994 年获清华大学学士学位，2005 年获美国明尼苏达大学商学院博士学位。

陈歆磊教授对产业经济学，市场竞争及调控，消费者选择与营销策略等领域有很深的造诣。他的研究方向集中在定价，品牌，营销渠道及广告促销。陈歆磊教授曾在国际一流学术刊物 Journal of Marketing Research 和 Marketing Science 上发表多篇文章。他是美国营销科学学会 Sheth 基金会 2004 年度博士联合会会员，并获得美国营销科学学会 2009 年度青年学者奖（MSI 2009 Young Scholar）。除去学术研究，陈歆磊教授对业界的研究也颇有建树。他撰写了关于互联网商业模式及市场调控的书籍，并经常在媒体对社会热点问题发表观点。

陈歆磊教授对国内外市场营销的理论与实践颇为熟悉，并拥有丰富的教学与咨询经验。他曾在中国对外经济技术合作总公司及 ABB 中国有限公司任项目经理。他主要讲授 MBA、EMAB 课程，包括“营销战略”及“价格策略”，以及相关的企业培训课程。

主要学术成果

- 1 Liang, Yitian (Sky), Yuxin Chen, Ping Xiao and Jinglong Zhang (2023) “Mobile ad fraud: Empirical patterns in publisher and advertising campaign data,” *International Journal of Research in Marketing*, forthcoming.
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- 5 Chen, Xinlei, Yitian Liang (2017) “A Review and Reflection on The Analysis of China Questions in Marketing”, *Journal of Marketing Science*, 13(4): 124-131
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- 13 Chen, Xinlei (Jack), George John, Julie M. Hays, Arthur V. Hill, and Susan E. Geurs (2009), “Learning from A Service Guarantee Quasi-Experiment”, *Journal of Marketing Research*, Vol. 46, Issue 5, 584-596
- 14 Zhu, Rui (Juliet), Xinlei (Jack) Chen, and Srabana Dasgupta (2008), “Exploring the Effect of Trade-In Value on Consumers’ Willingness to Pay for the New Product”, *Journal of Marketing Research*, Vol. 45, Issue 2, 159-170
- 15 Mehta, Nitin, Xinlei (Jack) Chen and Om Narasimhan (2008), “Informing, Transforming, and Persuading: Disentangling the Multiple Effects of Advertising on Brand Choice Decisions”, *Marketing Science*, Vol 27, Issue 3, 334-355
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- 16 Chen, Xinlei (Jack), George John and Om Narasimhan (2008), “Assessing the Consequences of a Channel Switch”, *Marketing Science*, Vol 27, Issue 3, 398-416



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教授简介

范昕宇博士现为长江商学院经济学助理教授。他于 2018 年获得加利福尼亚大学洛杉矶分校 (UCLA) 经济学博士学位, 并于 2016、2017 连续两年获得 UCLA 经济系杰出教学奖。他还曾于 2020 年获得第 10 届 UNICREDIT FOUNDATION GENDER ECONOMICS 最佳论文奖。

主要研究领域

- 博弈论
- 政治经济学
- 组织经济学
- 经济史
- 产业组织学
- 契约理论

主要学术成果

PUBLICATIONS

- 1 The Shaping of A Gender Norm: Marriage, Labor, and Foot-binding in Historical China, with Lingwei Wu, *International Economic Review*, 2023, Vol. 64: pp. 1819-1850.
- 2 Competence-Loyalty Tradeoff under Dominant Minority Rule, with Shuo Chen, L. Colin Xu, and Xun Yan, *Journal of Public Economics*, 2023, Volume 220.
- 3 Warcraft: Legitimacy Building of Usurpers, *Journal of Economic Behavior & Organization*, Vol. 184, 2021, pp. 409-431.

- 4 Strategic Promotion, Reputation, and Responsiveness in Bureaucratic Hierarchies (with Feng Yang), *Journal of Theoretical Politics*, 2019, 31(3), 286-307.

WORKING PAPERS

- 1 Elite Persistence, Power Struggle and Coalition Dynamics.

- 2 Godfather Politicians and Organized Violence, with Shuo Chen and Xuanyi Wang.

- 3 Noble No More: Keju, Institutional Commitment, and Political Purges, with Shuo Chen and Zhichen Huang.

- 4 Club-based Promotions: Evidence and Theory, with Shuo Chen and Zhitao Zhu.

- 5 Influence Activities through Social Media: Evidence from Academia, with Shuo Chen and Albert Roh.

- 6 The Emperor's Tael: Fiscal Centralization and Tax Revolts in Qing China, with Shuo Chen and Yu Hao.

- 7 Starving and Deceiving? How Disasters Reshape Politicians' Lies, with Shuo Chen and Xuanyi Wang.

- 8 Trade or Raid? The Tea-Horse Trades and the Sino-Nomadic Conflicts, with Shuo Chen and Yantong Fang.

WORK IN PROGRESS

- 1 Monitoring Dynamics in Fighting Corruption.

- 2 Peace and War and Peace: Social Order in Costly Struggles.

- 3 The Swing Professionals.

- 4 The Clash of Legitimacy (and the Remaking of Organizational Order), with Shuo Chen.

- 5 Law vs. Lynching: A History of Vigilantes, with Shuo Chen and Bo Yang.

- 6 Masters of Masterpieces: Collector Changes and Social Mobility, with Shuo Chen and Yanfei Yin.

- 7 The Fates of Founding Fathers, with Shuo Chen, Xu Huang, and Xiaomeng Zhang.



傅成玉

长江商学院大型企业治理与
创新管理实践教授
美国南加州大学石油工程硕士学位
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教授简介

傅成玉先生，1951年出生于中国黑龙江省，毕业于东北石油学院地质系，后获美国南加州大学石油工程硕士学位。

傅成玉先生从事石油工业40多年，先后任中国海油总经理、党组书记和中国石化董事长、党组书记，十七届中央纪律检查委员会委员、十二届全国政协常委。曾任联合国契约组织理事会理事，现任联合国全球契约中国网络名誉主席。

傅成玉先生还是美国南加州大学理事会理事，哥伦比亚大学全球能源政策中心顾问委员会委员，北京大学国际战略研究院理事会理事，清华大学互联网产业研究院产业转型顾问委员会副主席，上海科技大学校务委员会委员。

在40多年从业中，傅成玉先生有近20年对外合作的经验，其中1995年任美国菲利普斯国际石油公司亚洲公司副总裁、西江油田总经理，是国人中最早被任命为外国公司驻中国的高管。

由于傅成玉先生的卓越表现，国际石油行业和国际资本市场给予了他充分的肯定和认可，获得了众多国内外奖项。他曾荣获2004年“亚洲油气行业最佳CEO”、2005年CCTV“中国年度经济人物”、美国《时代》周刊“2005年14位世界最有影响力人物”、《世界经济学家》周刊“2006年中国企业十大风云人物”及“战略远见奖”、《亚洲货币》杂志“2007年亚洲地区最佳高管”、美国《财富》杂志“2008年中国最具影响力商业领袖”，2011和2012年连续两年入选《财富》杂志“全球50位商业人物”，被《华尔街日报》评为2012年“12位全球最值得关注的企业领袖”，世界能源权威机构——能源情报集团经全球能源行业CEO投票，授予傅先生2012年“全球石油业年度领袖人物”称号，2013年获美国耶鲁大学“传奇商业领袖奖”，2014年获普氏能源“亚洲年度首席执行官”，2018年入选全球化智库（CCG）发布的“中国改革开放海归40年40人”榜单，获得凤凰网评选的“致敬四十年杰出企业家”典范。卡塔尔阿提亚基金授予他“2019国际能源政策终身成就贡献奖”。除此之外，他还获得联合国环境署颁发的“环境与发展优秀实践者”及联合国“全球南南合作杰出领导力奖”等众多荣誉。他还获得一些国内管理和技术奖项。

重要科技奖项

- 1 中国海洋油气勘探开发科技创新体系建设，国家科技进步奖，一等奖，排名：第一，2010年，证书号码：2010-J-206-1-01-D01，主要合作者：周守为、陈伟、周洪波等。
- 2 珠江口盆地（东部）快速建成并连续十年稳产原油超千万方实践与创新，省部级，特等奖，排名：第二，2006年，证书号码：2006T02R02，主要合作者：钱富浩、罗汉等。
- 3 国际项目管理卓越大奖特大型项目管理金奖：中海油惠州炼油项目，2010年，主要合作者：董孝利、姚德彬等。

- 4 第十六届国家级企业管理现代化创新成果：基于效益、效率和风险平衡的大型石油公司风险管理，一等奖，排名：第一，2009年，主要合作者：武广齐。
- 5 第十七届国家级企业管理现代化创新成果：大型海洋石油企业全面应急管理系统建设，一等奖，排名：第一，2010年，主要合作者：周守为。
- 6 第十七届国家级企业管理现代化创新成果：基于互利共赢的液化天然气全产业链国际合作管理，二等奖，排名：第一，2010年，主要合作者：王家祥。

发明专利情况

- 1 一种深水半潜式钻井平台，2011年，专利类型：实用新型专利，专利号：ZL 2009 2 0220307.7，发明（设计）人：林瑶生，排名：第四，主要合作者：林遥生、粟京等。

论文和著作

- 1 专著《非常规油气资源勘探开发》，2015年，排名：第一，发表刊物：中国石化出版社。
- 2 专著《大型国企风险集成管理及工程项目风险理解析》，2011年，排名：第一，主要合作者：武广齐、田政等，发表刊物：中国发展出版社。
- 3 专著《“十一五”海洋石油科学技术论文集》，2011年，排名：第一，主要合作者：周守为、曾恒一等，发表刊物：中国石化出版社。
- 4 专著《大型国有企业的管理创新——中国海油的探索实践》，2011年，排名：第一，发表刊物：商务印书馆。
- 5 论文《基于效益、效率和风险平衡的风险管理》，2010年，排名：第一，发表刊物：中国石油企业 2010年第3期。
- 6 论文《能源安全的全球性》，2007年，排名：第一，发表刊物：中国石油企业 2007年第5期。
- 7 论文《以改革创新精神推进人力资源工作》，2008年，排名：第一，发表刊物：中国石油企业 2008年第8期。
- 8 论文《社会责任，中国海油的实践》，2006年，排名：第一，发表刊物：中国石油企业 2006年第3期。
- 9 论文《Evaluating effects of culture and language on safety》，1999年，排名：第二，主要合作者：Huang Chunlin，发表刊物：JPT, April 1999。

工程设计、建设、运行、管理方面的重要成果

- 1 西江 24-1 油田大位移水平井建设项目是高效开发海洋边际油田的成功模式，通过在邻近已有生产平台实施大位移水平井，使得在常规方案下没有经济价值的西江 24-1 油田得以开发，其水平位移创造了当年的世界记录。
- 2 “海上大庆油田”是由 82 个油田、145 座平台、18 艘 FPSO、4850 公里海底管线和 10 个陆上终端构成的巨型油田群。“海上大庆油田”的成功建设使我国成为继美国、英国、挪威之后的海洋油气生产大国。
- 3 “海洋石油 981 深水半潜式钻井平台”系列深水装备建设工程。大型深水装备是“流动的国土”，是深水油气勘探开发的作业平台。该系列装备的建造使中国海油的海上作业能力从 300 米水深跨越至 3000 米。
- 4 惠州 1200 万吨炼油项目建设工程项目是中国海油第一座独资建设、单系列国内最大的炼油工程，是全球首座集成减压蒸馏、加氢裂化、延迟焦化与芳烃联合等 16 套装置的大型炼化项目。
- 5 中国海油 LNG 产业发展战略制定及产业布局。中国海油是我国大规模引进 LNG 的首家试点企业、国内 LNG 产业的领军企业、国内 LNG 行业技术标准与规范的制订者、全球 LNG 第三大单一主力买家。



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教授简介

甘洁教授现为长江商学院金融学教授、历任科技创新、校友事务副院长。她拥有麻省理工学院博士学位，曾任香港科技大学工商管理学院教授、哥伦比亚大学商学院助理教授。甘教授长期从事公司金融及中国资本市场的研究，在这些领域有突出贡献。她 2011 年独立获得美国学术界最高荣誉之一的 Brennan 最佳论文奖，她的授课得到了 MBA 学生和 EMBA 学生的高度赞誉，课程获得多项教学奖。重要国际媒体如《华尔街日报》《金融时报》及《经济学家》杂志均曾报道她的研究和教学。

甘教授持续对 3000 家工业企业进行深入的季度问卷调查。基于此编制的产业经济景气指数在彭博（Bloomberg）终端和万德（Wind）数据库定期发布，并被国内外顶级媒体，如人民日报、财新、金融时报、华尔街日报多次报道。

甘教授是大疆创新的天使投资人并从多方面支持了大疆的早期成长。她是 XbotPark 机器人基地的联合创始人。她发起长江智造创业 MBA 项目并创立知行「科技 X 商业」研习院，旨在系统地支持科技创业者提升产品力和商业执行力。通过 XbotPark 和知行，甘洁教授孵化并投资了大疆、云鲸、正浩、海柔、希迪智驾五家独角兽以及李群、逸动、微埃等一系列明星初创企业。2015 年开始，每年都有甘教授孵化并投资的企业上榜 TIME《时代》杂志全球最佳发明。

主要研究领域

- 公司金融
- 资产评估
- 银行
- 房地产

主要学术成果

- 1 “Decentralized Privatization and Change of Control Rights in China”, J Gan, Y Guo, C Xu - *The Review of Financial Studies*, 2017.
- 2 “Transparency, Price Informativeness, and Stock Return Synchronicity: Theory and Evidence”, with Dasgupta, S. and Gao, N., *Journal of Quantitative and Financial Analysis*, vol. 45, 1189-1220, 2010.
- 3 “Housing Wealth and Consumption Growth: Evidence from a Large Panel of Households”, Lead article, RFS Brennan Award, *Review of Financial Studies*, vol. 23, 2229-2267, 2010.
- 4 “Monopoly and Information Advantage in the Market for Residential Mortgages”, with Riddiough, T., *Review of Financial Studies*, vol. 21, 2008.
- 5 “Collateral, Debt Capacity, and Corporate Investment: Evidence from a Natural Experiment”, *Journal of Financial Economics*, vol. 85, 2007.
- 6 “The Real Effects of Asset Market Bubbles: Loan- and Firm-Level Evidence of a Lending Channel”, *Review of Financial Studies*, vol. 20, 2007.
- 7 “Banking Market Structure and Financial Stability: Evidence from the Texas Real Estate Crisis in the 1980s”, *Journal of Financial Economics*, vol. 73, 2004.



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教授简介

何华博士，长江商学院金融实践教授，上海九鞅投资管理合伙企业创始人。

在创始九鞅之前，他曾任香港卡普拉投资管理公司亚洲地区担任董事长，并曾担任中国国际金融有限公司资本市场业务委员会执行主席。在这之前，他是野村国际（香港）有限公司董事总经理，曾经担任中国区股票业务主管、亚洲地区债券研究部主管，及亚洲地区股票研究部主管。在野村国际并购雷曼之前，何华博士曾在雷曼兄弟公司日本和香港的总部工作了八年，任亚洲地区固定收益和股票研究部的主管。早期，何华博士还曾在所罗门兄弟公司和 CAM 对冲基金任高层职位。

何华博士毕业于美国麻省理工学院，拥有金融学博士学位；并曾是加州大学伯克利分校和耶鲁大学的金融学终身教授。

主要研究领域

- 证券市场
- 股票
- 均衡资产定价

主要学术成果

- 1 “Optimal Dynamic Trading Strategies with Risk Limits”, joint with Domenico Cuoco and Sergei Isaenko, *Operations Research*, Volume 56, Number 2, pp358-368, 2008.

- 2 “Differential Information and Dynamic Behavior of Stock Trading Volume”, with Wang, J., *Review of Financial Studies*, vol. 8(4), 1995.

- 3 “Market Frictions and Consumption-Based Capital Asset Pricing”, with Modest, D., *Journal of Political Economy*, vol. 103, 1995.

- 4 “Consumption-Portfolio Policies: An Inverse Optimal Problem”, with Huang, C., *Journal of Economic Theory*, vol. 62, 1994.

- 5 “On Equilibrium Asset Price Processes”, with Hayne Leland, *Review of Financial Studies*, Volume 6, pp593-617, 1993.

- 6 “Consumption and Portfolio Policies with Incomplete Markets and Short-sale Constraints: The Infinite Dimensional Case”, with Pearson, N., *Journal of Economic Theory*, vol. 54(2), 1991.

- 7 “Optimal Consumption and Portfolio Policies: a Convergence from Discrete- to Continuous-Time Models”, *Journal of Economic Theory*, vol. 55(2), 1991.

- 8 “Convergence from Discrete- to Continuous-Time Contingent Claims Prices”, *Review of Financial Studies*, Volume 3, Number 4, pp523-546, 1990.



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教授简介

黄教授于 2003 年获得麻省理工学院斯隆管理学院（MIT Sloan School of Management）金融学博士学位，并曾任德克萨斯大学奥斯汀分校（The University of Texas at Austin）金融学终身副教授，讲授 EMBA 及博士学位的课程。她在税收、资产流动性、均衡资产定价，及基金管理等领域具有丰富的学术研究经验。

主要研究领域

- 共同基金
- 资产流动性
- 赋税研究
- 均衡资产定价

主要学术成果

- 1 “Investor Learning and Mutual Fund Flows,” with Kelsey Wei and Hong Yan, September 2021, *Financial Management*, 2022, Vol.51 (3), p.739-765.
- 2 “Government Debt and Corporate Leverage: International Evidence” (with Irem Demirci and Clemens Sialm, *Journal of Financial Economics*, 133(2), 2019, 337-356.
- 3 “Complex Mortgages” (with Gene Amromin, Clemens Sialm, and Edward Zhong, *Review of Finance*, 22(6), 2018, 1975-2007.
- 4 “Risk Shifting and Mutual Fund Performance”, with Sialm, C. and Zhang, H., *Review of Financial Studies*, March, 24 (8), 2575-2616, 2011.
- 5 “Market Liquidity, Asset Prices, and Welfare”, with Wang, J., *Journal of Financial Economics*, vol. 95(1), 2010. (received the best paper award for DeGroot/IIROC 3rd Annual Conference on Market Structure and Market Integrity)
- 6 “Liquidity and Market Crashes”, with Wang, J., *Review of Financial Studies*, vol. 22(7), 2009. (received NYSE Award for the best paper on equity trading at 2007 WFA and 2007 Morgan Stanley Equity Market Microstructure Research Grant)
- 7 “Taxable and Tax-Deferred Investing: A Tax-Arbitrage Approach”, *Review of Financial Studies*, vol. 21(5), 2008.
- 8 “Participation Costs and the Sensitivity of Fund Flows to Past Performance”, with Wei, K. D. and Yan, H., *Journal of Finance*, vol. 62(3), 2007.
- 9 “The Tradeoff between Mortgage Prepayments and Tax-Deferred Retirement Savings”, with Amromin, G. and Sialm, C., *Journal of Public Economics*, vol. 91, 2007.
- 10 “Are Stocks Desirable in Tax-Deferred Accounts?”, with Garlappi, L., *Journal of Public Economics*, vol. 90(12), 2006.



金钊

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教授简介

金钊博士现任长江商学院金融学助理教授。他于 2020 年获得美国罗切斯特大学 (University of Rochester) 金融学博士学位, 于 2015 年获得美国犹他大学 (University of Utah) 数学学士学位与金融学荣誉学士学位。美国 The Ewing Marion Kauffman Foundation 曾于 2018 - 2019 年资助其在创业、人工智能、风险投资、企业并购领域的研究。其在人工智能领域的研究曾被纽约时报、华尔街日报、腾讯网等多家媒体报道。

主要研究领域

- 风险投资
- 企业并购
- 创业与创新
- 人工智能

主要学术成果

PUBLICATIONS

- ① “Artificial Intelligence, Education, and Entrepreneurship”, with Michael Gofman, *Journal of Finance*, Volume 79, Issue 1, February 2024, Pages 631-667.

WORKING PAPERS

- ① How do Large Companies Affect Entrepreneurial Entry: Evidence From Amazon’s HQ2 Search
- ② Do PE Buyouts Affect Innovation of the Targets’ Industry Rivals?
- ③ Intellectual Property Rights, Corporate Giants, and Entrepreneurship (with Mingzhi Xu and John Yang)



荆兵

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教授简介

荆兵博士现任长江商学院市场营销学副教授。2001年至2007年期间，荆兵博士曾担任纽约大学商学院信息系统助理教授。荆兵博士2001年毕业于罗切斯特大学并获得商业管理博士学位，他本人还在美国先后获得了两个硕士学位。荆兵博士目前已在包括《管理信息系统学刊》《管理科学》《市场营销科学》《数量营销与经济学》《经济学与管理策略学刊》等一流学术期刊上发表过多篇论作。他的荣誉包括2011和2019年长江商学院杰出研究奖、Beta Gamma Sigma、《美国商业高等教育名人录》《美国名人录》等。

主要研究领域

- 产品差异化
- 产品线设计与定价
- 个性化定制
- 口碑营销
- 电子商务

主要学术成果

- 1 Showrooming and Webrooming: Information Externalities between Traditional and Online Sellers, *Marketing Science*, May-June, 2018.
- 2 Behavior-Based Pricing, Production Efficiency and Quality Differentiation, *Management Science*, July 2017.
- 3 Customer Recognition in Experience vs. Inspection Good Markets, *Management Science*, January 2016.

- 4 Lowering Customer Evaluation Costs, Product Differentiation, and Price Competition, *Marketing Science*, January-February 2016.

- 5 Equilibrium Financing in a Distribution Channel with Capital Constraint, with Chen, X. and Cai, G., *Production and Operations Management*, November-December 2012.

- 6 Seller Honesty and Product Line Pricing, *Quantitative Marketing and Economics*, Oct-Dec, 2011.

- 7 Social Learning and Dynamic Pricing of Durable Goods, *Marketing Science*, Sep-Oct, 2011.

- 8 Product Line Competition and Price Promotions, with Z. J. Zhang, *Quantitative Marketing and Economics*, July-September 2011.

- 9 Exogenous Learning, Seller-Induced Learning, and Marketing of Durable Goods, *Management Science*, October, 2011.

- 10 Pricing experience goods: The effects of customer recognition and commitment, *Journal of Economics and Management Strategy*, 20 (2), 2011.

- 11 Finitely loyal customers, switchers and equilibrium price promotion, with Z. Wen, *Journal of Economics and Management Strategy*, Fall 2008.

- 12 Product Differentiation under Imperfect Information: When does Offering a Lower Quality Pay? *Quantitative Marketing and Economics*, March 2007.

- 13 On the Profitability of Firms in a Differentiated Industry, *Marketing Science*, May-June 2006.

- 14 Product Customization and Price Competition on the Internet, with Dewan, R. & Seidmann, A., *Management Science*, August 2003.

- 15 Adoption of Internet-based product customization and pricing strategies, with Dewan, R. & Seidmann, A., *Journal of Management Information Systems*, Fall 2000.



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教授简介

李乐德教授为长江商学院荣誉退休教授，他曾任教于麻省理工学院、美国西北大学、香港科技大学，是国内外享有盛誉的生产管理专家。美国管理科学研究所、美国生产管理研究所及美国会计教授协会会员。

李乐德教授曾在多家学术期刊上发表系列论文；执笔 Business Applications of Game Theory 的部分章节；曾获斯隆基金、香港研究基金会等多个专业协会奖励资助；被耶鲁大学授予名誉文学硕士学位。

主要研究领域

- 生产管理与战略
- 工业组织
- 博弈论
- 随机程序与控制
- 供应链管理
- 服务运作
- 国际制造网络的管理

主要学术成果

- ① “Control of Dividends, Capital Subscriptions, and Physical Inventories,” with M. Shubik and M. Sobel, *Management Science*, vol. 59(5), 1107-1124, 2013.
- ② “Confidentiality and Information Sharing in Supply Chain Coordination”, with Zhang, H., *Management Science*, vol. 54(8), 2008.

- 3 "Price and Delivery Logistics Competition in a Supply Chain", with Ha, A. & Ng, S. M., *Management Science*, vol. 49(9), 2003.

- 4 "Information Sharing in a Supply Chain with Horizontal Competition", *Management Science*, vol. 48(9), 2002.

- 5 "Optimal Operating Policies for Multi-Plant Stochastic Manufacturing Systems in a Changing Environment", with Porteus, E. L. & Zhang, H., *Management Science*, vol. 47(11), 2001.

- 6 "The Multi-Stage Service Facility Startup and Capacity Model", with Zhang, H., *Operations Research*, vol. 48(3), 2000.

- 7 "Optimal Operating Policies in the Presence of Exchange Rate Variability", with Dasu, S., *Management Science*, vol. 43(5), 1997.

- 8 "Pricing, Production, Scheduling and Delivery-Time Competition", with Lederer, P. J., *Operations Research*, vol. 45(3), 1997.

- 9 "The Service Facility Startup and Capacity Model and Its Application to the National Cranberry Case", with Wang, X., *Operations Research*, vol. 44(1), 1996.

- 10 "Pricing and Delivery-Time Performance in a Competitive Environment", with Lee, Y. S., *Management Science*, vol. 40(5), 1994.

- 11 "The Role of Inventory in Delivery-Time Competition", *Management Science*, vol. 38, 1992.

- 12 "Subcontracting, Coordination, Flexibility, and Production Smoothing in Aggregate Planning," with Kamien, M., *Management Science*, vol.36, No.11, 1353-1363,1991.

- 13 "Bertrand Competition with Subcontracting," with Kamien, M. and S. Samet, *Rand Journal of Economics*, vol.20, No.4, 553-567, 1989.

- 14 "On the Allocation of Fixed and Variable Cost From Service Departments," with Balachandran, B.and R. Magee *Contemporary Accounting Research*, vol.4, No. 1, 164-185, 1987.

- 15 "Optimal Research for Cournot Oligopolists," with D. Mckelvey and T. Page, *Journal of Economic Theory*, vol.42, No.1, 140-166, 1987.

- 16 "Cournot Oligopoly with Information Sharing," *Rand Journal of Economics*, vol.16, No. 4, 521-536, 1985.



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教授简介

李海涛博士现任长江商学院院长、长江商学院教育发展基金会理事、金融学教授、杰出院长讲席教授，拥有耶鲁大学金融学博士学位。加入长江之前，李海涛博士曾任密歇根大学罗斯商学院金融学讲席教授，并曾在康奈尔大学约汉逊管理学院任教。

学术成就

- Sanford R. Robertson Professorship, University of Michigan, 2007-2008.
- NTT Research Fellowship, University of Michigan, 2006-2007.
- Nomination for Ph.D. Teaching Excellence Award, University of Michigan, 2006.
- Q-Group Research Grant, 2004.
- Best Student Paper Award, Eastern Finance Association, 1997.
- Trefftz Award for the Best Student Paper, Western Finance Association, 1996.
- Sterling Prize Fellowship, Yale University, 1991-1993.
- Yale University Fellowships, 1991-1996.

主要研究领域

- 理论与资产定价
- 信用风险
- 期权定价
- 金融经济学
- 对冲基金



主要学术成果

- 1 Nowcasting GDP Using Dynamics Factor Model with Unknown Number of Factors and Stochastic Volatility: A Bayesian Approach (with C. Yu and X. Zhang), *Econometrics and Statistics*, Available online 3 September 2021. Volume 24, October 2022, Pages 75-93

- 2 Time-Varying Risk Aversion and Dynamic Portfolio Allocation (with C. Wu and C. Zhou), *Operations Research*, January-February 2022, Pages iii-viii, 1-640, C2-C3.

- 3 Unifying Gaussian dynamic term structure models from a Heath-Jarrow-Morton perspective (with X. Ye and F. Yu), *European Journal of Operational Research* 286, 1153-1167, 2020.

- 4 Macroeconomic Risks and Asset Pricing: Evidence from a Dynamic Stochastic General Equilibrium Model (with E. Li and C. Yu), *Management Science*, 65(8), 3449-394, 2019.

- 5 Exploring Statistical Arbitrage Opportunities in the Term Structure of CDS Spreads (with R. Jarrow, X. Ye and M. Hu), *Review of Finance*, Volume 23, Issue 1, February 2019, Pages 161-198.

- 6 Nowcasting Chinese GDP Using a Bayesian Approach (with Y. Hong, C. Yu, and X. Zhang), *Journal of Management Science and Engineering*, Volume 3, Issue 4, December 2018, Pages 232-258.

- 7 The CDS/Bond Basis Arbitrage and the Cross Section of Corporate Bond Returns (with W. Zhang and G. Kim), *Journal of Futures Markets* 37, 836-861, 2017.

- 8 Hedge Fund Performance Evaluation under the Stochastic Discount Factor Framework (with Y. Xu and X. Zhang), *Journal of Financial and Quantitative Analysis* 51, 231-257, 2016.

- 9 CDS-Bond Basis and Bond Return Predictability, *Journal of Empirical Finance* 38, 307-337, 2016.
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- 10 Estimating Liquidity Premium of Corporate Bonds Using the Spread Information in On- and Off-the-Run Treasury Bonds (with J. Shi and C. Wu), *China Finance Review International*.
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- 11 No-Arbitrage Taylor Rules with Switching Regimes (with T. Li and C. Yu), *Management Science* 59, 2278-2294, 2013.
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- 12 Investing in Talents: Manager Characteristics and Hedge Fund Performances, with R. Zhao and X. Zhang, *Journal of Financial and Quantitative Analysis* 46, 59-82, 2011.
-
- 13 A Tale of Two Yield Curves: Modeling the Joint Term Structure of Dollar and Euro Interest Rates, with A. Egorov and D. Ng, *Journal of Econometrics* 162, 55-70, 2011.
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- 14 Evaluating Asset Pricing Models Using the Second Hansen-Jagannathan Distance, with Y. Xu and X. Zhang, *Journal of Financial Economics* 97, 279-301, 2010.
-
- 15 Reduced-Form Valuation of Callable Corporate Bonds: Theory and Evidence, with R. Jarrow, S. Liu, and C. Wu, *Journal of Financial Economics* 95, 227-248, 2010.
-
- 16 Nonparametric Estimation of State-Price Densities Implicit in Interest Rate Cap Prices, with F. Zhao, *Review of Financial Studies* 22, 4335-4376, 2009.
-
- 17 Are Liquidity and Information Risks Priced in the Treasury Bond Market?, with Y. He, J. Wang, and C. Wu, *Journal of Finance* 64, 467-503, 2009.
-
- 18 A Bayesian Analysis of Return Dynamics with Lévy Jumps, with M. Wells and L. Yu, *Review of Financial Studies* 21, 2345-2378, 2008.





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主要研究领域

- 转型经济学
- 中国经济
- 政治经济学
- 新兴市场金融学
- 公共金融学
- 应用经济

主要学术成果

- 1 “Wrongful Convictions with Chinese Characteristics. Economics of Transition and Institutional Change”, with Li, Peiyuan, Volume32, Issue1, January 2024, Pages 143-163.

- 2 白云霞、唐伟正、李伟, “企业可见规模与增值税有效税率”, 《中国工业经济》, 2021 年第 9 期。

- 3 “Banks, the Government, and Financial Constraints: Evidence from a large sample of Chinese firms,” with Robert Cull, Bo Sun and Lixin Colin Xu, forthcoming, *Journal of Corporate Finance*, Volume 32, June 2015, Pages 271-294.

- 4 “Tax Structures in Developing Countries: Puzzles and Possible Explanations”, with Gordon, R. H., *Journal of Public Economics*, vol. 93, August 2009.

- 5 “The Great Leap Forward: Anatomy of a Central Planning Disaster”, with Yang, D.T., *Journal of Political Economy*, vol. 113, August 2005.

- 6 “Government as a Discriminating Monopolist in the Financial Market: The Case of China,” with Gordon, R.H., *Journal of Public Economics*, vol. 87, 2003.

- 7 “Tax Rights in Transition Economies: A Tragedy of the Commons?”, with Berkowitz, D., *Journal of Public Economics*, vol. 76, June 2000.

- 8 “A Tale of Two Reforms”, *The Rand Journal of Economics*, vol. 30, spring 1999.

- 9 “The Impact of Economic Reform on the Performance of Chinese State-Owned Enterprises”, *Journal of Political Economy*, vol. 105, October 1997.

- 10 “Chinese Enterprise Behavior under the Reforms”, with Gordon, R. H., *American Economic Review [Papers and Proceedings]*, vol. 81, 1991.



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教授简介

李学楠教授是长江商学院金融学教授、中国产业政策研究中心主任。李学楠教授是罗切斯特大学金融学博士、马萨诸塞州大学物理学博士。在 2007-2012 期间在美国密歇根大学 Ross 商学院担任金融学助理教授。于 2012 年加入长江，在长江教授资产证券化和行为金融学等课程。李教授主要从事资产定价、货币政策、公司治理方向的学术研究，她的论文在顶级杂志 *Review of Financial Studies*, *Journal of Financial Economics*, *Journal of Monetary Economics*, 和 *Management Sciences* 上发表。李教授担任 *International Review of Finance* 和 *Quarterly Journal of Economics and Management* 学术期刊的副主编。李教授曾获得长江杰出研究奖。

主要研究领域

- 资本结构
- 资产定价
- 宏观经济学

主要学术成果

- 1 “The Marginal Value of Cash: Structural Estimates from a Model with Financing and Agency Frictions”, with Sudipto Dasgupta and Di Li, forthcoming, *Management Science*.
- 2 “Does Fiscal Policy Matter for Stock-Bond Return Correlation?”, with Tao Zha, Ji Zhang and Hao Zhou, 2022, *Journal of Monetary Economics*, 128, 20-34.
- 3 “Real and Nominal Equilibrium Yield Curves”, with Alex Hsu and Francisco Palomino, 2021, *Management Science*, 67(2), 1138-1158
- 4 “Macroeconomic Risks and Asset Pricing: Evidence from a Dynamic Stochastic General Equilibrium Model”, with Haitao Li, Shujing Wang, and Cindy Yu, 2019, *Management Science*, 65(8), 3449-3947.
- 5 “The CAPM Strikes Back? An Equilibrium Model with Disasters”, with Hang Bai, Kewei Hou, Howard Kung, Lu Zhang, 2019, *Journal of Financial Economics*, 131(2), 269-298.
- 6 “Inventory Behavior and Financial Constraints: Theory and Evidence”, with Sudipto Dasgupta and Dong Yan, 2019, *Review of Financial Studies*, 32(3), 1188-1233.
- 7 “Do Underwriters Compete in IPO pricing?” with Evgeny Lyandres and Fangjian Fu, 2018, *Management Science*, 64(2), 925-954.
- 8 “Corporate Governance and Costs of Equity: Theory and Evidence”, with Di Li, 2018, *Management Science*, 64(1), 83-101
- 9 “Nominal Rigidities, Asset Returns and Monetary Policy”, with Francisco Palomino, 2014, *Journal of Monetary Economics*, 66, 210-225.
- 10 “Anomalies”, with Dmitry Livdan and Lu Zhang, 2009, *Review of Financial Studies*, lead article, 22(11), 4301-4334.



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李洋现任长江商学院营销学副教授、MBA 项目负责人、EMBA 项目和高层管理教育项目学术主任。他本科毕业于北京大学电子学系，之后取得哥伦比亚大学生物医学工程硕士，哥伦比亚大学商学院博士。李洋教授专注于企业品牌塑造和营销数据建模的研究，成果已发表在 *Management Science*, *Marketing Science*, *Journal of Marketing Research*, *Journal of Consumer Research* 等国际顶尖学术期刊上，并常受邀在亚洲、北美和欧洲做营销讲座报告。李洋教授创新贝叶斯非参数化模型以解决精准营销“千人千程”问题的研究入围了 2021 年 Paul E. Green Award（营销学最高奖项之一）年度最佳论文，成为全球入围的四篇论文之一。李洋教授现在是贵州大数据产业联盟学术委员会委员，天猫智库荣誉学术顾问，长沙新消费研究院首批智库专家。在长江商学院李洋教授为 EMBA、EE、DBA、MBA 等项目讲授营销课程，也曾为腾讯、天猫、海尔、TTD、恒洁、上美等企业提供营销研究咨询，并持有医学图像处理的美国专利。

主要研究领域

- 大数据模型
- 贝叶斯统计
- 非参数统计
- 机器学习

主要学术成果

- 1 "When Language Matters," with Grant Packard and Jonah Berger, *Journal of Consumer Research*, forthcoming.
- 2 "Modeling Dynamic Heterogeneity using Gaussian Processes," with Ryan Dew and Asim Ansari, *Journal of Marketing Research*, 2020, 57(1), 55-77.
- 3 "Probabilistic Topic Model for Hybrid Recommender Systems: A Stochastic Variational Bayesian Approach," with Asim Ansari and Jonathan Z. Zhang, *Marketing Science*, 2018, 37(6), 987-1008.
- 4 "An Empirical Study of National vs. Local Pricing by Chain Stores under Competition," with Brett Gordon and Oded Netzer, *Marketing Science*, 2018, 37(5), 812-837.
- 5 "A Bayesian Semiparametric Approach for Endogeneity and Heterogeneity in Consumer Choice Models," with Asim Ansari, *Management Science*, 2014, 60(5), 1161-1179.
- 6 "Does Price Elasticity Vary with Economic Growth? A Cross-Category Analysis," with Brett Gordon and Avi Goldfarb, *Journal of Marketing Research*, 2013, 50(1), 4-23, Lead Article.



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教授简介

刘劲教授现任长江商学院会计与金融教授，教授管理委员会主席，投资研究中心主任。曾任教于美国哥伦比亚大学、加州大学洛杉矶分校（UCLA）并获终身教授职称。获哥伦比亚大学商学院（Columbia Business School）工商管理博士学位。刘教授长期从事资本市场，财务会计和股权投资的研究，是在股权投资和证券分析领域的国际著名专家。刘教授的研究成果具有国际影响力，多年被收录在爱思唯尔制作的“中国高被引学者榜单”中。多个国际一级学术刊物的长期审稿人及编委。曾获长江商学院杰出研究奖，加州大学安德森管理学院杰出研究奖（Eric and "E" Juline Faculty Excellence in Research Award），以及巴克莱全球投资（BARCKLAYS GLOBAL INVESTORS）最佳论文奖。

在授课方面，刘教授主要讲授价值投资的理论与实践。其主要内容包括 1) 如何理解资本市场，2) 如何理解企业的价值，3) 如何在市场和价值的基础上控制风险，做长期投资。刘教授注重把对产业的深度研究带入课堂，尤其关注新技术、新模式对传统产业产生的长远影响。刘教授带领研究团队平均每月完成一项对经济、投资、产业或科技的研究项目，在中外著名商学院中独树一帜。刘教授的研究注重第一性原理，从事物的结构性本质出发，具有前瞻性。比如：对 2007 年 A 股泡沫、2008 年美国房地产泡沫、2015 年 A 股杠杆泡沫的正确判断；对 2012 年在美上市中概股价值被严重低估、2015 年中概股回归价值的正确判断；在 2014 年预判 P2P 市场的无效性和巨大金融风险；在 2020 年 3 月建议用大规模群体检测做为重要控疫政策（4 月起成为国家政策）；在 2020 年美国大选前正确预测拜登胜选，等等。

刘教授是第一届和第二届长江商学院教授管理委员会主席。此委员会是由全体长江教授民主选举产生的教授治校机构。从 2014 年到 2021 年，刘教授作为副院长分管长江商学院的企业家学者项目（DBA），把该项目打造成中国商学院顶级企业家培训项目中最为领先的项目之一。在 2015 年，刘教授与五位长江校友一同发起了长江商学院创业

创新社区（创创），并用5年的时间将创创打造成了全球一流商学院中规模和影响力首屈一指的创业平台。刘教授也是长江商学院青年人投资论坛的发起人；“长江商学院投资者情绪调查”的创建者；曾担任长江商学院校友理事会理事长，首届长江商学院发展基金理事长。在2008至2015年，刘教授曾分管财务、人事、IT、运营等行政工作，为打造长江的管理和文化体系做出了贡献。

刘教授注重理论与实践的结合，对商业实践有深度的研究和参与，除了在商学院的多年教研和管理工作的之外，与多个国内、国际一流企业有深度的、面向实践的合作研究。

主要研究领域

- 财务会计
- 公司财务
- 资本市场

主要学术成果

- ① “A comparison of US and Chinese firms’ profitability: from 2005 to 2013,” with James Ohlson and Weining Zhang, *The Accounting Horizons*, 2015.
- ② “Leverage, Excess leverage and Future Stock Returns”, with Caskey, J. and Hughes, J., *Review of Accounting Studies*, 2011.
- ③ “On the Relation between Expected Returns and Implied Cost of Capital”, with Hughes, J. and Liu, J., *Review of Accounting Studies*, 2009.
- ④ “On the Relation between Predictable Market Returns and Predictable Analysts’ Forecast Errors”, with Hughes, J. and Su, W., *Review of Accounting Studies*, 2008. Winner of the BGI best paper award.
- ⑤ “Are Executive Stock Option Exercises Driven by Private Information?”, with Aboody, D., Hughes, J. and Su, W., *Review of Accounting Studies*, 2008.
- ⑥ “Information Asymmetry, Diversification and Cost of Capital”, with Hughes, J. and Liu, J., *Accounting Review*, 2007.
- ⑦ “Earnings Quality, Insider Trading and Cost of Capital”, with Aboody, D. and Hughes, J., *Journal of Accounting Research*, 2005.
- ⑧ “Valuation and Accounting for Inflation and Foreign Exchange”, with Hughes, J. and Zhang, M., *Journal of Accounting Research*, September 2004.
- ⑨ “Equity Valuation Using Multiples”, with Thomas, J. and Nissim, D., *Journal of Accounting Research*, March 2002.
- ⑩ “Measuring Value Relevance in a (possibly) Inefficient Market”, with Aboody, D. and Hughes, J., *Journal of Accounting Research*, September 2002.
- ⑪ “Stock Returns and Accounting Earnings”, with Thomas, J., *Journal of Accounting Research*, spring 2000.



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梅丹青博士现为长江商学院金融学助理教授。他于 2020 年获得哥伦比亚大学商学院金融学博士学位。在过去几年中，梅博士的论文在著名期刊 *Journal of Finance* 与 *Journal of Law and Economics* 上发表。

主要研究领域

- 公司并购
- 创新经济学
- 机器学习（自然语言处理）

主要学术成果

PUBLICATIONS

- ① "Mapping US-China Technology Decoupling, Innovation, and Firm Performance", with Pengfei Han and Wei Jiang, *Management Science*, forthcoming.
- ② "Activist Arbitrage in M&A Acquirers", *Finance Research Letters*, 2019, with Wei Jiang and Tao Li.
- ③ "Influencing Control: Jawboning in Risk Arbitrage", *Journal of Finance*, 2018, with Wei Jiang and Tao Li.
- ④ "Appraisal: Shareholder Remedy or Litigation Arbitrage?", *Journal of Law and Economics*, 2016, with Wei Jiang, Tao Li, and Randall S. Thomas.

WORKING PAPERS

- ① Bias Against Chinese Inventors in the U.S. Patent System, with Hanming Fang and Xiang Zheng.
- ② Data Usage and Data Economy, with Wei Jiang and Song Ma.
- ③ Product Return and Firm Growth, with Miao Liu and Tianshu Sun.





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教授简介

梅建平博士先后获得美国普林斯顿大学经济学硕士和博士学位。长江商学院金融学教授。曾任纽约大学金融学副教授、芝加哥大学访问副教授并任职于普林斯顿大学。梅建平教授曾担任 *Journal of Real Estate Finance & Economics*, *Real Estate Finance* 副编辑。

作为梅摩艺术品指数的联合创始人之一，有关该指数的报道广泛见于世界主流财经媒体报端，如《经济学家》《福布斯》《时代》《金融时报》《华尔街日报》《商业周刊》等；这一反映西方绘画作品市场走势的指数，曾被摩根斯坦利定为世界十大资产指数之一，包括摩根·斯坦利、美林、UBS、花旗银行、德意志银行等金融机构也引用该指数。2016年，苏富比收购梅摩艺术品指数并将其更名为苏富比梅摩指数。

梅建平教授讲授房地产金融、艺术品投资、金融市场和创新等课程。

主要研究领域

- 国际金融
- 金融历史
- 房地产金融
- 资产定价

主要学术成果

① “Empirical Evidence of Anchoring and Loss Aversion from Art Auctions”, with Graddy, Kathryn; Loewenstein, Lara; Moses, Mike and Pownall, Rachel A. J., *Journal of Cultural Economics*, 2023, Vol.47 (2), p.279-301.

② “Residual Variance and Asset Pricing in The Art Market”, with

Moses, Michael and Zhou, Yi, *Journal of Cultural Economics*, 2023, Vol.47 (3), p.513-545

- 3 "Ordering, Revenue and Anchoring in Art Auctions", with H. Hong, M. Moses, J.Kubic, and I. Kremer, *Rand Journal of Economics*, Vol. 46, No. 1, Spring 2015.
- 4 "Behavior Based Manipulation", with Chunsheng Zhou, *Journal of Financial Research*, 2012, Winner of 2012 Best Paper Award.
- 5 "Turning over Turnover", with Cremers, M., *Review of Financial Studies*, vol. 20(6), 2007.
- 6 "Idiosyncratic risk and creative destruction in Japan", with Y. Hamao & Y. Xu, *Journal of Money, Credit and Banking*, 2007, 4.
- 7 "Large Investors, Price Manipulation, and Market Breakdown - An Anatomy of Market Corners", with Allen, F. and Litov, L., *Review of Finance*, vol. 10, 2006.
- 8 "Market Manipulation: A Comprehensive Study of Stock Pools", with Jiang, G. & Mahoney, P., *Journal of Financial Economics*, vol. 77, 2005.
- 9 "Vested Interests and Biased Price Estimates: Evidence from an Auction Market", with Moses, M., *Journal of Finance*, vol. 60, 2005.
- 10 "Art as Investment and the Underperformance of Masterpieces: Evidence From 1875-2000", with Moses, M., *American Economic Review*, December 2002.
- 11 "Living with the Enemy: an Analysis of Japanese Experience with Foreign Investment", (with Y. Hamao), *Journal of International Money and Finance*, 2001, 715-735.
- 12 "What Makes the Stock Market Jump?--An Analysis of Political Risk on the Hong Kong Stock Returns", (with H. Kim), *Journal of International Money and Finance*, 2001, 1003-1016.
- 13 "Have U.S. Financial Institutions' Real Estate Investments Exhibited 'Trend-Chasing' Behavior?", with Saunders, A., *Review of Economics and Statistics*, vol. 79, 1997.
- 14 "Measuring International Economic Linkage with Stock Market Data", with Ammer, J., *Journal of Finance*, vol. 51, 1996.
- 15 "Explaining the Cross-section of Returns under a Multi-Factor Model", *Journal of Financial and Quantitative Analysis*, vol. 28, 1993.
- 16 "A Semi-autoregression Approach to the Arbitrage Pricing Theory", *Journal of Finance*, vol. 48, 1993.
- 17 "Where Do Betas Come From? Asset Pricing Dynamics and the Sources of Systematic Risk", with Campbell, J., *Review of Financial Studies*, vol. 6, 1993.



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教授简介

那恪博士现任长江商学院会计学助理教授。那教授在 2014 年获得美国罗切斯特大学会计学博士学位，之后任教于香港大学商学院。他于 2020 年加入长江，讲授财务会计和管理会计等课程。那教授主要从事高管薪酬、公司治理、企业税务规划、财务报表和审计质量的研究。

主要研究领域

- 高管薪酬
- 公司治理
- 企业税务规划
- 财务报表
- 审计质量

主要学术成果

PUBLICATIONS

- 1 "The Influence of Labor Market Power in the Audit Profession," with Daniel Aobdia, Qin Li, and Hong Wu, forthcoming at *The Accounting Review*.
- 2 "Is Conservatism Demanded by Performance Measurement in Compensation Contracts? Evidence from Earnings Measures Used in Bonus Formulas," with Ivy Zhang and Yong Zhang, *Review of Accounting Studies*, Volume 29, pages 809–851, (2024)
- 3 "Languages and Corporate Tax Avoidance," with Wenjia Yan, *Review of Accounting Studies* (2022), 27: 148-184.
- 4 "CEOs' Outside Opportunities and Relative Performance Evaluation: Evidence from a Natural Experiment," sole-authored, *Journal of Financial Economics* (2020), 137(3): 679-700.

WORKING PAPERS

- 1 "Trade Secret Protection, Labor Market Friction, and CEO Compensation," sole-authored, R&R at *The Accounting Review*.
- 2 "Client Restatement Announcements, Audit Office Human Capital Investment, and Audit Quality Improvements," with Daniel Aobdia, Xuejiao Liu, and Hong Wu, R&R at *Journal of Accounting and Economics*.
- 3 "The Economic Consequences of CEO Compensation Lawsuits," with Xiangnian Kong, R&R at the *Journal of Accounting Research*.
- 4 "Taxes and Executive Pay: Evidence from Nonqualified Deferred Compensation," sole-authored, R&R at *Management Science*.
- 5 "Politicians' Ideology, State Intervention, and Corporate Taxation," with Terry Shevlin, Danqing Wang, and Wenjia Yan, R&R at *Management Science*.



藕继红

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教授简介

藕继红在中国科学院数学研究所取得硕士学位后，留美在麻省理工学院获得运营研究学博士学位。目前为长江商学院运营管理学副教授，此前是新加坡国立大学商学院副教授，也曾是剑桥大学、加州大学洛杉矶分校安德森管理学院和伊利诺依大学访问学者。藕继红教授的课程包括统计学与决策分析、管理科学、运营管理、供应链管理、随机运营研究模型等。

主要研究领域

- 排队理论及随机运营研究模型
- 生产与库存系统
- 工业工程
- 企业流程化管理
- 供应链管理

主要学术成果

- ① “Power Structure and Profitability in Assembly Supply Chains”, *Production and Operations Management* 23 (2014) 1599-1616 (with L.Chen and D.Ding) 29.
- ② “Analysis of Ticket Queues with Reneging Customers Supply Chains”, *Journal of Operational Research Society* (2014) (with L.Chen and D.Ding).
- ③ “Coordinating Batch Production and Pricing Control of a Make-to-Stock Product”, with L. Chen and Y. Feng, *IEEE Transactions on Automatic Control*, 54, 1674-1680, 2009.

- 4 “Optimal Control of Price and Production in an Assemble-to-Order System”, with Y. Feng and P. Zhan, *Operations Research Letters*, 36, 506-512, 2008.

- 5 “Coordination of Stocking Decisions in an Assemble to Order Environment”, with S. Gilbert and X. Zhang, 189, 540-558, 2008.

- 6 “Service Performance Analysis and Improvement for a Ticket Queue with Balking Customers”, with Gao, L. & Xu, S., *Management Science*, vol. 53, 2007.

- 7 “Stability of Data Networks: Stationary and Bursty Model”, with Ye, H. & Yuan. X., *Operations Research*, vol. 53, 2005.

- 8 “The Benefits of Advanced Booking Discount Programs: Model and Analysis”, with Alptekinoglu, A., Rajaram, K. & Tang, C. S., *Management Science*, vol. 50, 2004.

- 9 “Inventory Cost Effect of Consolidating Several One-warehouse Multi-retailer Systems”, with Lim, W. S. & Teo, C. P., *Operations Research*, vol. 51, 2003.

- 10 “The Delay of Open Markovian Queuing Networks: Uniform Functional Bounds, Heavy Traffic Pole Multiplicities, and Stability”, with Humes, C. & Kumar, P. R., *Mathematics of Operations Research*, vol. 50, 1997.

- 11 “The Throughput of Irreducible Closed Markovian Queuing Networks: Functional Bounds, Asymptotic Loss, Efficiency, and the Harrison-Wein Conjectures”, with Jin, H. & Kumar, P. R., *Mathematics of Operations Research*, vol. 22, 1997.

- 12 “Sequential Screening In Semiconductor Manufacturing, II: Exploiting Lot-to-Lot Variability”, with Wein, L. M., *Operations Research*, vol. 44, 1996.

- 13 “The Impact of Processing Time Knowledge on Dynamic Job-Shop Scheduling”, with L. M. Wein, *Management Science*, vol.37, 1991.

- 14 “Dynamic Scheduling of a Production/Inventory System With By-Products and Random Yields”, with L. M. Wein *Management Science* vol.41, 1995.



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教授简介

欧阳辉是长江商学院高级副院长、金融学杰出院长讲席教授，兼任学院互联网金融研究中心主任与金融创新和财富管理研究中心联席主任。欧阳教授也是中国证监会资本学院特聘教授并兼任多个公司独立董事和高级顾问。

欧阳辉曾任雷曼兄弟，野村证券，瑞士银行董事总经理。负责过大型金融机构的资产配置，信用衍生品定价，alpha-beta 结构性产品等，也负责过为大型企业的投融资，成本管理和业务开发提供解决方案和产品建议。

欧阳辉也曾被美国北卡大学授予终身教职和任杜克大学副教授，曾被评选为杜克大学 2004 级全球企业高管 EMBA 最佳教授，曾独立荣获 2003 年度著名的《金融研究评论》杂志 Michael Brennan 奖及 2005 年度定量分析师协会最佳论文奖（与 Henry Cao 分享）。

欧阳辉拥有美国加州大学伯克利分校金融学博士学位和美国杜兰大学化学物理学博士学位。他还曾在美国加州理工学院从事化学物理学博士后研究，师从诺贝尔奖得主鲁道夫·马克斯（Rudy Marcus）。

主要研究领域

- 资产定价
- 公司理财
- 资产定价与道德风险的混合模型

主要学术成果

- 1 “Alpha Decay and Sharpe Ratio: Two Measures of Investor Performance”, (with Ming Guo), *Economic Modelling*, Volume 104, (2021).

- 2 “The Power Law Characteristics of Stock Price Jump Intervals: An Empirical and Computational Experimental Study” (with Hongduo Cao), *Entropy*, April 21, (2018).

- 3 “Net Trade and Market Efficiency in Grossman and Stiglitz (1980)” (with W. Wu), *Journal of Economic Theory*, 167, 75-85 (2017).

- 4 “Return Predictability and Strategic Trading under Symmetric Information” (with M. Guo), *Journal of Mathematical Finance*, 7, 412-436, (2017).

- 5 “Feedback Trading between Fundamental and Nonfundamental Information”, with M. Guo, *Review of Financial Studies*, 28, 247—296, 2015.

- 6 “A Model of Portfolio Delegation and Strategic Trading”, with Kyle, A. S. and Wei, B., *Review of Financial Studies*, 24, 3778-3812, 2011.

- 7 “Differences of Opinion of Public Information and Speculative Trading in Stocks and Options”, with Cao, H., *Review of Financial Studies*, vol. 22(1), 2009. (Winner of the Society of Quantitative Analysts Award at the 2005 Western Finance Association Meetings).

- 8 “Capital Structure, Debt Maturity, and Stochastic Interest Rates”, with Ju, N., *Journal of Business*, vol. 79, 2006.

- 9 “Incentives and Performance in the Presence of Wealth Effects and Endogenous Risk”, with Guo, M., *Journal of Economic Theory*, vol. 129, 2006.

- 10 “Prospect Theory and Liquidation Decisions”, with Kyle, A. S. and Xiong, W., *Journal of Economic Theory*, vol. 129, 2006.

- 11 “Estimation of Continuous-Time Models with an Application to Equity Volatility”, with Bakshi, G. and Ju, N., *Journal of Financial Economics*, vol. 82, 2006.

- 12 “An Equilibrium Model of Asset Pricing and Moral Hazard”, *Review of Financial Studies*, vol. 18, 2005.

- 13 “Optimal Contracts in a Continuous-Time Delegated Portfolio Management Problem”, *Review of Financial Studies*, vol. 16, 2003. (Awarded the Barclays Global Investors/ Michael Brennan Runner-Up; Award for the best paper).



齐大庆

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教授简介

齐大庆博士，长江商学院会计学教授，曾任教于香港中文大学，美国会计教授协会会员。齐教授在国际著名会计学刊上发表多篇论文，多次在国际会议上获得最佳论文奖；曾多次获得香港中文大学管理学院的优秀教学奖，并拥有多年为著名国际和国内企业提供高层管理人员培训和企业咨询的经验，包括：信息产业部、上海市政府、中国移动、中国电信、NOKIA、Ericsson、Siemens 等。

主要研究领域

- 财务报表分析
- 中国上市公司管理层的利润操纵
- 中国企业战略的传承与控制

主要学术成果

- ① “The Economic Consequences of Voluntary Auditing”, with Haw, I-M. & Wu, W., *Journal of Accounting, Auditing and Finance*, vol. 23(1), 2008.

- ② “Securities Regulation, the Timing of Annual Report Release, and Market Implications: Evidence from China”, with Haw, I-M. & Wu, W., *Journal of International Financial Management & Accounting*, vol. 17(2), 2006.

- ③ “Earnings Management of Listed Firms in Response to Security Regulations in China’s Emerging Capital Market”, with Haw, I-M. & Wu, W., *Contemporary Accounting Research*, vol. 22, 2005.

- ④ “Analysis on Strategy Implementation Status and the Decisive Factors of Implementation of China’s Enterprises”, with Wei, H. & Xue, Y., *Management World*, September 2005.

- ⑤ “Audit Qualification and Timing of Earnings Announcements: Evidence from China”, with Haw, I-M. & Wu, W., *A Journal of Practice and Theory*, vol. 22 (2), 2003.

- ⑥ “The Nature of Information in Accruals and Cash Flows in an Emerging Capital Market the Case of China”, with Haw, I-M. & Wu, W., *The International Journal of Accounting*, vol. 36(4), 2001.

- ⑦ “The Incremental Information Content of SEC 10-K Reports Filed under the EDGAR System”, with Wu, W. & Haw, I-M., *Journal of Accounting, Auditing and Finance*, vol. 15(1), 2000.

- ⑧ “Stationarity and Cointegration Tests of the Ohlson Model”, with Wu, W. & Xiang, B., *Journal of Accounting, Auditing & Finance*, vol. 12(2), 2000.

- ⑨ “Firm Performance and the Timing of Annual Report Releases: The Case of China”, with Haw, I-M & Wu, W., *Journal of International Financial Management and Accounting*, vol. 11(2), 2000.

- ⑩ “Ownership Structure and Performance of Listed Chinese Companies”, with Wu, W. & Zhang, H., *Pacific-Basin Finance Journal*, 2000.



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教授简介

石维磊毕业于匹兹堡大学 Katz 商学院，获得战略管理学博士学位。在加入长江之前为纽约市立大学 Zicklin 商学院管理系终身正教授，博士生导师。目前石教授担任全球顶级期刊《管理研究杂志》(Journal of Management Studies) 的副主编。另外石教授也曾是上海交通大学上海高级金融学院战略学教授，并于 2019 年到 2022 年担任上海交通大学上海高级金融学院 EMBA/EE/GES 项目的联席学术主任。他同时是高金的 EMBA/EE/EFP/MBA/MF 项目的课程教授。在此之前，他也是上海交通大学安泰经济与管理学院的访问教授，教授 EMBA/EE/MBA/MIB 课程。石教授曾于 2015 至 2019 年担任上海交通大学安泰经济与管理学院高层管理教育中心的学术主任并于 2017 至 2019 担任安泰经济与管理学院 DBA 的项目主任。此外石教授也是上海千人计划专家，并担任上海交通大学重庆两江研究院专家委员会委员以及上海市委决策咨询委员会上海全球城市研究院特聘研究员。

在进入学界之前，石维磊曾在世界顶级的战略管理咨询公司罗兰贝格大中华区就职。在顾问生涯中，他曾主导零售、汽车和机械加工等行业的咨询项目。尤其擅长外企的市场进入战略、兼并收购与战略联盟、兼并收购后的整合、组织架构。目前石博士担任多家顶尖的创业孵化器的创业导师以及多家上市企业的独立董事。

主要研究领域

石教授的研究与教学专注于战略与国际化管理的交互作用。他对从时间和网络视角审视企业的兼并收购和战略联盟尤其感兴趣，同时也是数字化战略和 ESG 投资领域的专家。他的论文曾发表于战略领域全球顶尖学术期刊包括《战略管理学报》(Strategic Management Journal)，《国际商业研究杂志》(Journal of International Business Studies)，《管理研究杂志》(Journal of Management Studies)，《管理学报》(Journal of Management) 《管理学

院视野》(*Academy of Management Perspectives*)，《组织研究》(*Organization Studies*)，《亚太管理杂志》(*Asia Pacific Journal of Management*)，《哈佛商业评论》(*Harvard Business Review*)和《中欧商业评论》(*CEIBS Business Review*)等。在过去的十年中，他在 Financial Time 50 顶尖期刊发表了 10 篇论文。他被认为是兼并重组，国际化战略方面的思想领袖，其研究成果被众多领域的专家和学者引用。

学术成就

目前石教授担任全球顶级期刊《管理研究杂志》(*Journal of Management Studies*)的副主编。他还曾担任过《亚太管理杂志》(*Asia Pacific Journal of Management*)的客座主编。他也是顶尖期刊《国际商业研究杂志》(*Journal of International Business Studies*)、《国家管理学会期刊》(*Academy of Management Perspectives*)、《全球战略期刊》(*Global Strategy Journal*)、《长期规划期刊》(*Long Range Planning*)的编委会专家委员。石教授曾三次被美国管理学会授予“杰出评论家奖”。另外他论文 4 次获得美国管理年会的最佳论文奖，1 次获得亚洲管理年会的最佳论文奖，2 次获得全球战略管理年会的最佳论文提名，2 次入选全球国际商务年会最佳论文奖 (*Temple/AIB Best Paper Award and Haynes Prize Best Paper Award*)，并多次获得纽约市立大学杰出科研奖 (*Eugene Lang* 和 *PSC CUNY*)。并且是《哈佛商业评论》《CEO 和 CIO》《中欧商业评论》《经济亮点》《全球》和《当代经济研究》等期刊的特约撰稿人。

石博士的拥有丰富的教学和企业咨询经验，其授课深受来自华尔街知名私募基金及投行，Fortune 500 强企业，纽约经济发展部，以及创业型企业的 MBA/EMBA/ 高管培训学员的好评。他为匹兹堡大学，瑞士伯尔尼应用科学大学，纽约市立大学，立陶宛 BMI 商学院，英国曼切斯特大学，西班牙 ESADE 大学，瑞士 St. Gallen 大学，莫斯科管理学院 SKOLKOVO，长江商学院 EE，上海交通大学高级金融学院和上海交通大学安泰经济与管理学院 EMBA/EE/MBA/MF/MIB 的学员教授了公司战略和竞争战略等课程（中英文），是其所授课的受众群中的明星教授，并获得过纽约市立大学的最佳教学奖。

他同时也为多家全球著名公司的高层管理人员进行过内部培训及咨询服务，包括 3M、AstraZeneca（阿斯利康）、Auchan（法国欧尚集团）、BEA（东亚银行）、Bristol-Myers Squibb（施贵宝）、Swire Coca-Cola（太古可口可乐）、CASCO（卡斯柯）、China Continent Insurance（中国大地保险）、CITIC（中信集团）、DB Schenker（德铁信可）、Bank of Communication（交通银行）、Bank of Guizhou（贵州银行）、ICBC（中国工商银行）、Kuka Robotics（库卡机器人）、Lincomb（联璧科技）、Perfetti（不凡帝）、PKU Founder Life（北大方正）、Chiatai（正大天晴）、Weifu（无锡威孚）、Fresenius（费森尤斯）、SAIC（上汽集团）、INESA（上海仪电）、Want Want group（旺旺集团）、SAFE（中国国家外汇管理局）、SHAMP（韩国汉阳）以及 SWHY Securities（申万宏源）。

主要学术成果

- 1 C Wickert, K Potočník, S Prashantham, W Shi, Y Snihur - Embracing Non - Western Contexts in Management Scholarship, *Journal of Management Studies*, 2024.
- 2 Sun, L., Markoczy, L., Li, W., & Shi, W. Unleashing Corporate Entrepreneurship in Privatization. In *Research Handbook on Corporate Governance in China (Eds)*. Conyon, M. & He, L.
- 3 Shi, W., Rupert, H. Casas-Klett, T., & Tonn, A. Unicorns: The engine of creative destruction and elite circulation. 2024. *Elite Quality Report 2024: The Sustainable Value Creation of Nations*.
- 4 Shi, W., & Tonn, A. The Elite Quality Index as a Resource for International Business Education. 2024. *Elite Quality Report 2024: The Sustainable Value Creation of Nations*.
- 5 Shi, W., Teng, B., & Zhang, X. 后动企业如何突出重围 . 2024. *CEIBS Review*, February, 2024 (中欧商业评论).
- 6 Shi, W., Teng, B., & Cao, X. 拆解预制菜：玩家，陷阱与天花板。经济观察报 2024.
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宋志平

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教授简介

党的十五大和十八大代表，享受国务院政府特殊津贴，全国劳动模范，曾荣获中国优秀企业家金球奖、中国十大并购人物、袁宝华企业管理金奖、“石川馨—狩野”奖、中国经济年度人物、中国证券金紫荆奖「最具影响力领袖奖」、全球华人经济领袖、影响改革开放进程的企业领袖、财富年度中国商人、《财富》CEO 终生成就奖、改革开放40年40人——中国最具影响力十大创新人物、新中国成立70周年卓越贡献企业家终身成就奖等多项殊荣。

宋志平是我国著名的企业家、企业改革家和企业思想家，他深耕企业40年，始终奋战在企业改革的最前沿，创造了大量鲜活的企业管理和改革经验。他在同时出任中国建材集团和国药集团董事长期间，带领两家企业双双跻身世界500强。宋志平在推动国企改革、提高上市公司质量等方面做出了巨大努力。他的远见卓识得到企业界广泛认可和尊重。

社会职务

- 中国上市公司协会会长，中国企业改革与发展研究会会长，世界水泥协会主席。
- 2019年卸任中国建材集团董事长。
- 历任全国工商管理专业学位研究生教育指导委员会三届委员。

主要研究领域

开创并成功实践了“央企市营”“整合优化”“格子化管控”“八大工法”“三精管理”等一系列先进的经营管理模式。先后推动8家央企重组，混合近千家长民企业，创造性地提出“央企的实力+民企的活力=企业的竞争力”公式，被公认为我国混合所有制改革的先行者。

学术成就

- 创造出水泥企业区域性大规模重组整合、三精管理的实践与经验分别荣获国家级企业管理现代化创新成果一等奖
- 水泥企业区域性大规模重组整合被选入哈佛商学院案例
- 混合所有制推进建材行业供给侧结构性改革荣获第六届管理科学奖

主要学术成果

《包容的力量》 《央企市营》 《整合优化》 《国民共进》

《我的企业观》 《经营方略》 《笃行致远》 《经营心得》

《改革心路》 《问道改革》 《问道管理》 《问道创新》

《企业迷思》 《企业心语》



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教授简介

苏丹博士现为长江商学院金融学助理教授，他于 2022 年获得明尼苏达大学双城分校金融学博士学位，主要研究领域是宏观金融。他曾获 2021 Western Finance Association 优秀博士生论文奖。

主要研究领域

- 宏观金融
- 企业理论
- 国际资本流动

主要学术成果

PUBLICATIONS

- 1 “Surges and Instability: The Maturity Shortening Channel” (with Xiang Li), *Journal of International Economics*, Volume 139, November 2022

- 2 “Total Factor Productivity Growth at the Firm-Level: The Within Gain of Capital Account Liberalization” (with Xiang Li), *Journal of International Economics*, Volume 139, November 2022

- 3 “Does capital account liberalization affect income inequality?” (with Xiang Li), *Oxford Bulletin of Economics and Statistics*, Volume 83, Issue 2, April 2021, Pages 377-410

- 4 “Impacts of oil price shocks on Chinese stock market liquidity” (with Xinwei Zheng), *International Review of Economics & Finance*, Volume 50, July 2017, Pages 136-174

- 5 “Manufacturing as the key engine of economic growth for middle-income economies” (with Yang Yao), *Journal of the Asia Pacific Economy*, Pages 47-70, Published online: 03 Jan 2017

WORKING PAPERS

- 1 BigTech Credit, Small Business, and Monetary Policy Transmission: Theory and Evidence (joint with Yiping Huang, Xiang Li, Han Qiu and Changhua Yu)

- 2 The Crumbling Wall between Crypto and Non-crypto Markets: Risk Transmission through Stablecoins (joint with Yiping Huang, Yang Ji, Juan Lin and Peng Wang)



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教授简介

孙宝红教授目前为长江商学院市场营销学教授、杰出院长讲席教授。此前，她曾任卡内基梅隆大学泰伯商学院卡内基 - 博世营销学讲席教授。主要研究领域是：消费者理性和策略性选择（消费产品包装、金融与医疗健康服务、最新的电子商务及社交媒体平台）。她是《市场营销科学》和《市场营销学刊》等专业学术期刊编委会成员，并在包括芝加哥大学、沃顿商学院、康奈尔大学、加州大学伯克利分校等美国多所知名大学发表过演讲。此外，她还分别是美国市场营销学会和美国经济学委员会成员，担任世界经济论坛未来行为科学理事会理事。孙宝红博士拥有丰富的公司咨询经验，服务过的公司包括 PNC 银行，Bell South，青岛啤酒和 IBM 公司等。2016 年春，她出版了关于大数据和商业智能的 MBA 教科书《以消费者为中心的营销策略—数据营销》（麻省理工学院）。

主要研究领域

- Web3.0 商业模式、创造者经济中的用户行为、NFT、智能合约
- 网络分析，将人类洞察纳入机器学习方法对电子商务和社交媒体平台
- 消费者理性和策略性选择及动态模型
- 市场营销的动态和互动混合，客户关系管理

主要学术成果

- 1 Customer-Centric Marketing: A Pragmatic Framework with Ravi R. The MIT Press. March 2016. (BOOK)
- 2 How Does Competition Affect Exploration vs. Exploitation? A Tale of Two Recommendation Algorithms, H. Henry Cao, Liye Ma, Z. Eddie Ning, Baohong Sun, *Management Science*, Volume 70, Issue 2, February 2024, Pages v-iv, 671-1342, iii-iv.

- 3 "Metaverse and Web3.0", *Harvard Business Review* (Chinese version), Nov, 2022.
- 4 "Machine Learning and AI in Marketing – Connecting Computing Power to Human Insights" *International Journal of Research in Marketing*. Volume 37, Issue 3, September 2020, Pages 481-504.
- 5 "Wisdom Medical – Cracking the 'Tie Triangle' Dilemma" *Harvard Business Review* (Chinese version), Feb, 2019.
- 6 "Individual Consumer's Learning in the Process of Technology Implementation: A Bayesian Estimation of a Bayesian Learning Approach," Haijing Hao, Rema Padman, Baohong Sun and Rahul Telang, forthcoming at *Electronic Commerce Research*.
- 7 "An Empirical Analysis of Consumer Purchase Behavior of Base Products and Add-ons," Liu, Xiao, Tim Dendenger and Baohong Sun. *Marketing Science*, 2018, 37(4), 507-684.
- 8 "Everywhere and at All Times: Mobility, Consumer Decision Making, and Choice," Lurie, Nicholas H. and Berger, Jonah A. and Chen, Zoey and Li, Beibei and Liu, Hongju and Mason, Charlotte and Muir, David M. and Packard, Grant M. and Pancras, Joseph and Schlosser, Ann and Sun, Baohong and Venkatesan, Rajkumar. *Customer Needs and Solutions*, 2018, 5(1), 15-27.
- 9 "Quantifying the Impact of Social Influence on Information Technology," Haijing Hao, RemaPadman, Baohong Sun and Rahul Telang. *Information System Research*, 2018, 29(1), 25-41.
- 10 "Is Core-Periphery Network Good for Knowledge Sharing? -- A Structural Model of Endogenous Network Formation on a Crowdsourced Customer Support Forum," Yingda Lu, Param Singh, Baohong Sun. *Management Information Systems Quarterly*, 2017. 42(1), 607-628.
- 11 Empirical Analysis of Purchase and Consumption Decisions of Health Insurance," Jian Ni, Nitin Mehan, Kannan Srinivasan and Baohong Sun. *Marketing Science*, 2017, 36(3), 338-360.
 - a. Selected for Marketing Science Press Release of the Issue
- 12 "Squeaky Wheel Gets the Grease - An Empirical Analysis of Customer Voice and Firm Intervention on Twitter." Liye Ma, Baohong Sun, and Sunder Kekre. *Marketing Science*, 2015, 34(5), 627-645, (Lead article).
 - Finalist, John D.C. Little Best Paper Award, INFORMS.
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- 13 "Bucket Pricing," Yacheng Sun, Shibo Li, and Baohong Sun. *Marketing Science*, 2015, 34(5), 646-668.
- 14 "A Dynamic Model of Rational Addiction: Evaluating Cigarette Taxes." Brett Gordon and Baohong Sun. *Marketing Science*, 2015, 34(3), 452-70.
- 15 "The ISMS Durable Goods Datasets," Jian Ni and Scott Neslin

(database article). *Marketing Science*, 2012, 31(6), 1008-13.

- 16 "Ushering Buyers into Electronic Channels." Nishitha Langer, Chris Forman, Sunder Kekre, and Baohong Sun. *Information Systems Research*, 2012, 23(4), 1212-31.
- 17 "A Dynamic Structural Model of the Impact of Loyalty Programs on Customer Behavior," Praveen Kopalle, Yacheng Sun, Scott A. Neslin, Baohong Sun, and Vanitha Swaminathan. *Marketing Science*, 2012. 31(2), 216-335.
- 18 "Cross-Selling the Right Product to the Right Customer at the Right Time," Shibo Li, Alan Montgomery and Baohong Sun, *Journal of Marketing Research*, 2011, 48(4), 683-700.
- 19 "Learning and Acting Upon Customer Information: A Simulation-Based Demonstration on Service Allocations with Offshore Centers," Sun, Baohong and Shibo Li, *Journal of Marketing Research*, 2011, 48(1), 72-86.
- 20 "Stated intentions and purchase behavior: A unified model," Baohong Sun and Vicki Morwitz. *International Journal of Research in Marketing*. 2010. 27(4), 356-366.
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- 25 "Measuring Long Run Marketing Effects and their Implications for Long Run Marketing Decisions," Bart Bronnenberg et al., 2008, *Marketing Letters*, 19 (3-4), p367-382.
- 26 "A Dynamic Model of Brand Choice When Price and Advertising Signal Product Quality." Erdem, Tulin, Michael Keane and Baohong Sun, 2008, *Marketing Science*, 27(6), 1111-1129.
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 - Finalist, 2008 John D.C. Little Best Paper Award, INFORMS
- 27 "The impact of advertising on consumer price sensitivity in experience goods markets," Erdem, Tulin, Michael Keane and Baohong Sun, 2008, *Quantitative Marketing and Economics*, 6 (2), p139-176.
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- 29 "Technology Innovation and Implications on Customer Relationship Management," (invited commentary paper), Baohong Sun, 2006, *Marketing Science*, 25(6), 594-598.
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- 30 "Dynamic Structural Consumer Models and Current Marketing Issues," (invited commentary paper), Baohong Sun, 2006, *Marketing Science*, 25(6), 625-629.
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- 38 "The Role of Stated Intentions in New Product Purchase Forecasting," Cheng Hsiao, Vicki Morwitz and Baohong Sun, 2002, *Advances in Econometrics*, 16, 10-21, lead article.
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- 39 "Understanding the Reference Price Shopper: A Within and Cross-Category Analysis," Tulin Erdem, Glenn Mayhew and Baohong Sun, 2001, *Journal of Marketing Research*, 38, 4, 445-457.
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- 40 "Testing for Choice Dynamics in Panel Data," Tulin Erdem and Baohong Sun, 2001, *Journal of Business and Economic Statistics*, 19, 2, 142-152.
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- 41 "Fixed vs. Random Effects: Specification of Panel Data," Cheng Hsiao and Baohong Sun, 2000, *Panel Data Econometrics: Future Directions*, 181-198.
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- 42 "Missing Price and Coupon Availability Data in Scanner Panels: Correcting for the Self-Selection Bias in the Choice Model Parameters," Tulin Erdem, Michael Keane and Baohong Sun, 1999, *Journal of Econometrics*, 89, 1-2, 177-196.
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- 43 "Modeling Survey Response Bias - with An Application to the Demand for An Advanced Electronic Device Service," Cheng Hsiao and Baohong Sun, *Journal of Econometrics*, 1999, 89, 1-2, 15-39, (lead article).



孙天澍

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教授简介

孙天澍教授现任长江商学院科技与运营教授、杰出院长讲席教授，企业家学者项目学术主任，在南加州大学获得终身教职以及 Robert Dockson 讲席教授，同时兼任商学院与计算机系博士生导师。孙天澍的研究聚焦在企业数字化转型，包括数字化战略，数字化组织和数字化科技，在中美顶尖企业有丰富的工作经历与合作实践（如 Facebook, Adobe, 阿里巴巴, 网易等）。孙天澍的学术研究和产业实践尤其关注技术与商业的交叉融合——特别是大数据，云计算，物联网和人工智能如何持续的改变零售，金融，制造，物流，医疗和企业服务等行业。孙天澍受邀在顶级大学（哈佛，MIT，沃顿商学院，芝加哥，斯坦福等）以及国际顶级学术会议上发表八十多场学术演讲，并在 Facebook, Google, Snapchat, 领英, 优步, 阿里巴巴, 中信, 人民日报集团等顶级机构做数字化转型，大数据和平台战略的邀请分享和培训。孙天澍的学术论文发表在信息系统，机器学习，经济学和商学院国际顶级期刊与会议，获得 16 项最佳论文奖（包括芝加哥大学颁发的 Wittink Prize 年度最佳论文奖），以及 USC 颁发的年度最佳教授奖（Golden Apple Award）。孙天澍教授担任多个国际顶级期刊常务和客座编委（MS, ISR, MISQ）和国际会议大会主席（CIST, WEBEIS），并获得多个顶级机构的研究支持。孙天澍本科毕业于南京大学物理系，在马里兰大学修读物理，电子工程与经济学博士课程，获得信息系统博士学位。

主要研究领域

- 数字化转型
- 数字化平台战略
- 数字化创新组织
- 数字化应用技术（云计算，大数据，物联网，人工智能，SaaS/PaaS）
- 数据科学与数据决策（因果分析，机器学习与大规模实验设计）
- 数字经济，数据监管和流通，以及大数据和人工智能对社会的影响
- 互联网平台与金融科技
- 产业数字化政策与标准（数据标准，隐私监管，人才教育，软件生态）
- 管理信息系统与企业服务
- 新零售与新制造

主要学术成果

- 1 Andrew Heeseung Lee, Angela Choi, Tianshu Sun, Wonseok Oh, "To Split or to Merge?: How Partitioning Affects Consumption and Engagement with Digital Content", *Accept, Information Systems Research*.
- 2 Ruomeng Cui, Zhikun Lu, Tianshu Sun, Joseph Golden (2024) "Sooner or Later? Promising Delivery Speed in Online Retail", (ICIS 2021 Best Paper in "Digital and Mobile Commerce"), *Forthcoming, Manufacturing & Service Operations Management*, Volume 26, Issue 1, January-February 2024, Pages 1-406, C2.
- 3 Yicheng Song and Tianshu Sun (2024) "Ensembling Experiments to Optimize Customer Journey: A Reinforcement Learning Approach", *Forthcoming, Management Science*
 - Adobe Faculty Research Award 2020, Marketing Science Institute (MSI) Research Grant 2021
- 4 JaeHwuen Jung, Tianshu Sun, Ravi Bapna, and Joseph Golden (2024) "Social Learning in Prosumption: Evidence from a Randomized Field Experiment", *Forthcoming, Management Science*
- 5 Zhe Yuan, AJ Chen, Yitong Wang, and Tianshu Sun (2024) "How Recommendation Affects Customer Search: A Field Experiment", *Forthcoming, Information Systems Research*
- 6 Brian Rongqing Han, Leon Yang Chu, Tianshu Sun, Lixia Wu (2023) "Commercializing the Package Flow: Cross-sampling Physical Products Through E-commerce Warehouses", (*WISE 2019 Best Student Paper*), *Forthcoming, Management Science*
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- 16 Tianshu Sun and Sean Taylor (2020) "Displaying Things in Common to Encourage Friendship Formation: A Large Randomized Field Experiment", *Quantitative Marketing and Economics*, 18, 237-271.

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- 17 Tianshu Sun, Siva Viswanathan, Ni Huang and Elena Zheleva (2020) "Designing Promotional Incentive to Embrace Social Sharing: Evidence from Field and Online Experiments", *MIS Quarterly*, 45(2), 789-820.

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- Best Paper (1st Place), CIST 2015; Best Paper (Honorable Mentions), INFORMS Doing Good with Good OR 2015
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- 23 Ni Huang, Tianshu Sun, Pei-yu Chen and Joseph Golden (2019) "Word-of-Mouth System Implementation and Customer Conversion: A Randomized Field Experiment", *Information Systems Research, 30(3), 805-818*.
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- 24 Tianshu Sun, Susan Feng Lu and Ginger Zhe Jin (2016), "Solving Shortage in a Priceless Market: Evidence from Blood Donation", *Journal of Health Economics, 48:149-165*.
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- 25 Mingxuan Yue, Tianshu Sun, Fan Wu, Lixia Wu, Yinghui Xu and Cyrus Shahabi (2020) "Learning Contextual and Topological Representations of Areas-of-Interest for On-Demand Delivery Application", Proceedings of the 2020 European Conference on Machine Learning (ECML-PKDD 2020).
- Best Applied Data Science Paper (Runner-up), ECML 2020



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教授简介

陶志刚博士现为长江商学院战略学及经济学教授，欧洲市场副院长，曾任教于香港大学及香港科技大学。研究兴趣主要集中在组织与策略经济学、中国经济、国际经济学等。陶志刚博士在国际著名期刊发表多篇论文，并被大量引用。

陶博士在国际著名期刊 *International Economic Review*, *Journal Economics and Management Strategy*, *Journal of International Economics*, *Rand Journal of Economics* 发表多篇论文，并被大量引用。重要国际媒体如《华尔街日报》《纽约时报》曾报道他的研究。

主要研究领域

- 组织与策略
- 中国与全球经济

主要学术成果

- 1 Differential impacts of vaccine scandal by ethnic and socioeconomic factors: evidence from China (with Mengna Luan, Qi Qi ,Wenjing Shi, Ying Bao, Jiushun Zhou), *PLoS ONE* 18(7): e0288841. <https://doi.org/10.1371/journal.pone.0288841>
- 2 Monopsony power and workers' switching costs: Evidence from hospitals in China (with Mengna Luan and Hongjie Yuan), *Economics Letters*, 2023, vol. 233, 111403. <https://doi.org/10.1016/j.econlet.2023.111403>
- 3 Alive but Not Well: The Neglected Cost of Air Pollution (with Mengna LUAN and Hongjie YUAN), *Health Economics*, November 2023, 32(11): 2535-2567.
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滕斌圣博士现任长江商学院战略学教授，副院长、新生代独角兽研究中心主任。滕教授 1998 年在纽约市立大学获战略学博士学位，1998-2006 年执教于美国乔治·华盛顿大学商学院，曾任战略学副教授，博导，享有终身教职，并负责该校战略学领域的博士项目。2006 年底加入长江商学院。

滕教授曾担任《国际创业与管理》《商业研究》和《中国管理研究前沿》等学刊的编委，是所有国际顶尖战略学刊物的专业审稿人。2003 年，他在乔治·华盛顿大学商学院获得“科瑞研究学者”的荣誉称号。

滕教授经常在管理论坛发表主题演讲，受邀到财经电视节目中（如央视《对话》和东方卫视《意见领袖》《对话财经界》等栏目），作为嘉宾点评企业战略问题。滕教授有关中国企业战略实践的专栏和系列文章，陆续出现在各主要财经刊物（如《北大商业评论》《商界评论》和《经济观察报》）。

滕教授拥有丰富的管理教学和企业咨询的经验，咨询或培训过的企业包括世界银行、中国移动、中信集团、华润集团、腾讯等，并担任过中兴通讯和武汉长飞等十来家上市企业的独立董事。

2014-2020 年间，滕教授连续七年入选爱思唯尔的“中国高被引学者”榜单。在《2022 胡润百学·中国商学院教授学术活跃度榜》上，滕教授在战略管理领域位列第五名。

主要研究领域

滕教授的研究与教学领域集中在战略联盟、竞争战略、创业与创新，以及企业的跨国经营。滕教授在国际著名学刊上共发表了二十多篇论文，其中包括《管理学会评论》《组织科学》等顶尖刊物。他被认为是战略联盟方面的权威，受到媒体（如《华尔街时报》和《华盛顿邮报》）的多次专访，研究成果被众多战略学教材引用，若干文章更被认为是研究联盟所必读，得到数千次的专业引用数。两本中文专著《企业战略》（2015）与《从颠覆到重生》（2017）受到读者广泛关注。

主要学术成果

- 1 “Strategic Alliance Termination and Performance: The Role of Task Complexity, Nationality, and Experience”, with McCutchen, W. W. Jr., Swamidass, P. M., *Journal of High Technology Management Research*, vol. 18(2), 2008.
- 2 “Governance Structure Choice in Strategic Alliances: The Roles of Alliance Objectives, Alliance Management Experience, and International Partners”, with Das, T.K., *Management Decision*, vol. 45, 2008.
- 3 “Corporate Entrepreneurship Activities through Strategic Alliances: A Resource-based Approach toward Competitive Advantage”, *Journal of Management Studies*, vol. 44, 2007.
- 4 “Managing Intellectual Property in R&D Alliances”, *International Journal of Technology Management*, vol. 38(1-2), 2007.
- 5 “The Keys to Successful Knowledge-sharing”, with Cummings, J.L., *Journal of General Management*, vol. 31(4), 2006.
- 6 “The Emergence and Popularization of Strategic Alliances: Institutional and Entrepreneurial Views”, *International Entrepreneurship and Management Journal*, vol. 1, 2005.
- 7 “The Risk-based View of Trust: A Conceptual Framework”, with Das, T. K., *Journal of Business and Psychology*, vol. 19(1), 2004.
- 8 “Alliance Constellations: A Social Exchange Perspective”, with Das, T. K., *Academy of Management Review*, vol.27.2002.
- 9 “Instabilities of Strategic Alliances: An Internal Tensions Perspective”, with Das, T. K., *Organization Science* vol.11, 2000.
- 10 “Between Trust and Control: Developing Confidence in Partner Cooperation in Alliances” with Das, T. K., *Academy of Management Review*, vol.23, 1998.



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教授简介

布莱恩博士 2000 年毕业于芝加哥大学商学院。毕业后即以战略管理学助理教授身份进入斯坦福大学商学院任教。他的研究兴趣为产业组织经济学、竞争战略经济学、实用微观经济学等领域。

布莱恩教授的研究方向主要集中在移动互联网和互联网产品的定位和被用户采用的决定因素，内容的可用性，产品的定价策略，以及公司在行业里的竞争战略。布莱恩教授近期的研究成果还包括了中国减少汽车排放污染和制造业污染对经济生产力的影响。布莱恩教授教授所授的课有企业竞争战略，管理经济学和信息产品市场。他被长江商学院学生评为首届最佳教学奖的得主。他的商业经济学博士学位来自于美国的芝加哥大学。

主要研究领域

- 产业组织经济学
- 竞争战略经济学
- 实用微观经济学

主要学术成果

- 1 “The Dynamic Role of Subnational Regions in Firm Performance,” (with Hafibing Shu), *Journal of Management Studies*, forthcoming.

- 2 “A Mayor’s Perspective on Tackling Air Pollution,” (with Shihe Fu) – invited chapter in C.K.Y. Leung (Ed.), *Handbook of Real Estate and Macroeconomics* (413 – 437). Edward Elgar Publishing Ltd.

- 3 “Trans-Boundary Air Pollution Spillovers: Physical Transport and Economic Costs by Distance” (with Shihe Fu and Peng Zhang) – *Journal of Development Economics*, Volume 155, 102808, March 2022.

- 4 “Air Quality and Manufacturing Firm Productivity: Nationwide Estimates for China,” (joint with Shihe Fu and Peng Zhang), *The Economic Journal*, Vol. 131, 3241 – 3273, November 2021.

- 5 “Commute Costs and Labor Supply: Evidence from a Satellite Campus,” (with Shihe Fu) – *Journal of Economic Geography*, Vol. 19, No. 3, 723 – 752, May 2019.

- 6 “The Effect of Beijing’s Driving Restrictions on Pollution and Economic Activity,” (lead author with Shihe Fu) – *Journal of Public Economics*, Vol. 125, 98 – 115, May 2015.

- 7 “Bayesian Estimation of Nonlinear Equilibrium Models with Random Coefficients,” (lead author with Anne Gron and Nicholas Polson) – *Applied Stochastic Models in Business and Industry*, Vol. 31, No. 4, 435 – 456, July/August 2015.

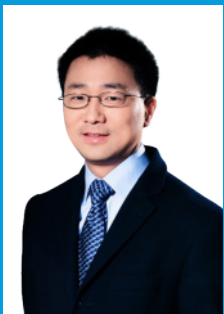
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- 9 “The Effect of Market Structure on Cellular Technology Adoption and Pricing” (with Katja Seim) – *American Economic Journal: Microeconomics*, Vol. 3, No. 2, 221-251, May 2011.

- 10 “Quantifying the Benefits of Entry into Local Phone Service,” (with Nicholas Economides and Katja Seim) – *The RAND Journal of Economics*, Vol. 39, No. 3, 699 – 730, Autumn 2008.

- 11 “Do Frequency Reward Programs Create Switching Costs?,” (with Wesley Hartmann) – *Quantitative Marketing and Economics*, Vol. 6, No. 2, 109 – 137 (lead article), June 2008.

- 12 “Do Switching Costs Make Markets More or Less Competitive?: The Case of 800-Number Portability” – *The RAND Journal of Economics*, Vol. 38, No. 1, 146 – 163, Spring 2007.



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教授简介

王能博士是长江商学院金融学教授、杰出院长讲席教授，学术高级副院长，美国哥伦比亚大学商学院金融学终身讲席教授，国民经济研究院（NBER）高级研究员，亚洲金融经济研究局（ABFER）高级研究员。

王能博士 1973 年出生于安徽省马鞍山市当涂县，1992 年毕业于南京大学少年班物理化学专业，1995 年获得加州理工学院化学硕士学位，1997 年获得加州大学圣地亚哥分校国际关系及亚太研究硕士学位，2002 年获得美国斯坦福大学商学院金融学博士学位。2002-2004 年任教于美国罗切斯特大学商学院。自 2004 年任教于哥伦比亚大学，于 2007 年被破格提升为终身，讲席，正教授，时为哥伦比亚大学商学院最年轻的终身讲席正教授。2008-2011 年曾任哥伦比亚大学商学院金融系主任。

王能博士以理论紧密结合实践的方式讲授 MBA，EMBA，金融硕士及博士生课程，其中包括高级公司金融理论，高级资产定价理论，宏观经济学理论，创业金融学及私募股权，房地产金融，固定收益证券及市场，金融机构和风险管理等。

主要研究领域

- 公司金融学
- 资产定价理论
- 宏观经济学
- 货币银行学
- 金融科技

- 消费金融学
- 创业金融学
- 金融机构
- 国际金融学

主要学术成果

- 1 Dynamic trading with realization utility, with Min Dai and Cong Qin, *Journal of Finance*, forthcoming
- 2 Dynamic Banking and the Value of Deposits, with Patrick Bolton, Ye Li, and Jinqiang Yang, *Journal of Finance*, forthcoming
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- 5 A q theory of internal capital markets, with Min Dai, Xavier Giroud, and Wei Jiang, *Journal of Finance*, 79(2), 1147-1197, (April 2024)
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- 9 Rare disasters, financial development, and sovereign debt, with Sergio Rebelo and Jinqiang Yang, *Journal of Finance*, 77(5), 2719-2764, (2022).
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- 13 Tokenomics: Dynamic adoption and valuation, with Lin William Cong and Ye Li, *Review of Financial Studies*, 34(3), 1105-1155, (2021), Editor's Choice (lead article).

- 14 Investment under uncertainty with financial constraints, with Patrick Bolton and Jinqiang Yang, *Journal of Economic Theory*, 184, 1-58, #104912, (2019).

- 15 Optimal contracting, corporate finance, and valuation with inalienable human capital, with Patrick Bolton and Jinqiang Yang, *Journal of Finance*, 74, 1363-1429, (2019).

- 16 Investment, Tobin's q, and interest rates, with Xiaoji Lin, Chong Wang, and Jinqiang Yang, *Journal of Financial Economics*, 130, 620-640, (2018).

- 17 Optimal consumption and savings with stochastic income and recursive utility, with Chong Wang and Jinqiang Yang, *Journal of Economic Theory*, 165, 292-331, (2016).

- 18 Dynamic investment, capital structure, and debt overhang, with Suresh Sundaresan and Jinqiang Yang, *Review of Corporate Finance Studies*, 1-42, (2015), Editor's Choice (lead article).

- 19 Valuing private equity, with Morten Sorensen and Jinqiang Yang, *Review of Financial Studies*, 27(7), 1977-2021, (2014).

- 20 The economics of hedge funds, with Yingcong Lan and Jinqiang Yang, *Journal of Financial Economics*, 110(2), 300-323, (2013).

- 21 Market timing, investment, and risk management, with Patrick Bolton and Hui Chen, *Journal of Financial Economics*, 109(1), 40-62, (2013).

- 22 The economic and policy consequences of catastrophes, with Robert Pindyck, *American Economic Journal: Economic Policy*, 5(4), 306-339, (2013).

- 23 Dynamic agency and the q theory of investment, with Peter DeMarzo, Michael Fishman, and Zhiguo He, *Journal of Finance*, 67(6), 2295-2340, (2012).

- 24 A unified model of entrepreneurship dynamics, with Chong Wang and Jinqiang Yang, *Journal of Financial Economics*, 106(1), 1-23, (2012), lead article.

- 25 A unified theory of Tobin's q, corporate investment, financing, and risk management, with Patrick Bolton and Hui Chen, *Journal of Finance*, 66(5), 1545-1578, (2011).

- 26 Risk, uncertainty, and option exercise, with Jianjun Miao, *Journal of Economic Dynamics and Control*, 35(4), 442-461, (2011).

- 27 Entrepreneurial finance and non-diversifiable risk, with Hui Chen and Jianjun Miao, *Review of Financial Studies*, 23(12), 4348-88, (2010).
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- 28 Optimal consumption and asset allocation with unknown income growth, *Journal of Monetary Economics*, 56(4), 524-34, (2009).
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王一江博士现为长江商学院经济学及人力资源学教授，前明尼苏达大学卡尔森管理学院终身教授，美国密歇根大学戴维逊研究所研究员。1989年后曾兼职担任世界银行顾问，清华大学经济管理学院中国经济研究所高级研究员，中国留美经济学会副会长。研究兴趣主要集中在组织理论、劳动经济学与人力资源管理、中国经济、货币与公共金融等。

王一江博士在国际著名期刊 *Research in Labor Economics*, *Journal of Comparative Economics*, *Journal of Comparative Economics* 等发表多篇论文，并被大量引用；其中“Human capital investment under Asymmetric Information: The Pigovian Conjecture Revisited”多次被 *Handbook of Labor Economics* 所引用。

近年来，王教授重点研究了暴力对人类进化和对社会制度形成的影响。2021年出版的《人为何物：进化的逻辑》（中信出版社）一书，阐述了暴力对人性本质的影响。“The Nature of the Market”一文，解释了市场的起源和类型。

主要研究领域

- 组织理论
- 劳动经济学与人力资源管理
- 中国经济
- 货币与公共金融

主要学术成果

- 1 The Nature of the Market: Roads from Taking to Trading.” *Journal of Government and Economics* (8), 2022, pp. 1 - 14. Lead article.

- 2 Budd, John, Wei Chi, Yijiang Wang and Qianyun Xie, 2014. “What Do Unions in China Do? Provincial-Level Evidence on Wages, Employment, Productivity, and Economic Output.” *Journal of Labor Research*, Vol.35, no.2 (June), pp.185-204.

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- 6 “Uncertainty in Labor Productivity and Specific Human Capital”, with Bai, C., *Journal of Labor Economics*, vol. 21(3), July 2003.

- 7 “A Multitask Theory of the State Enterprise Reform”, with Bai, C., Li, D. & Tao, Z., *Journal of Comparative Economics*, vol. 28, 2000.

- 8 “The Myth of the East Asia Miracle: The Macroeconomic Implications of Soft Budgets”, with Bai, C., Y., *American Economic Review*, vol. 82(2), May 1999.

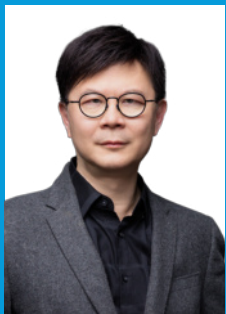
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- 10 “Enterprise Productivity and Performance: When Is Up Really Down?”, with Bai, C., & Li, D., *Journal of Comparative Economics*, vol. 24, 1997.

- 11 “Human Capital Investment and Labor Turnover under Asymmetric Information: The Pigovian Conjecture Revisited”, with Chang, C., *Journal of Labor Economics*, vol. 14, June 1996.

- 12 “The Nature of the Township and Village Enterprise”, with Chang, C., *Journal of Comparative Economics*, vol. 19, 1994. .

- 13 “When Privatization Should Be Delayed: Organizational and Institutional Legacies of Communism and the Strategy of Transition”, with Murrell, P., *Journal of Comparative Economics*, vol. 17, 1993.



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教授简介

奚恺元在耶鲁大学获心理学博士学位，在芝加哥大学布斯商学院获终身讲席教授。现在是长江商学院院长杰出讲席教授。奚教授是全球知名的心理学家，其研究领域包括决策心理学、社会认知心理学、幸福学、营销学、管理学等。他著有近百篇学术论文，其研究影响广泛，截至 2023 年，他的谷歌学术论文引用次数（Google Scholar Citation Count）超过 25,000。奚教授曾任美国判断与决策学会的主席，且是美国心理科学学会的会士。奚教授获得过多项学术奖和教学奖，包括美国消费者心理学会颁发的杰出科学贡献奖以及芝加哥布斯商学院颁发的优秀教学奖。

主要研究领域

- 市场营销及消费者心理学
- 管理心理学
- 行为科学及决策心理学
- 幸福学
- 跨文化心理学

主要学术成果

- 1 With Li, X, Imas, A. & Zeng, Y. (2024): Coordinate to cooperate: How framing influences strategic interactions, *Management Science*.

- 2 With Lu, Z., & Zhang, K. Short-Asking with Long-Entreating (2024): SALE A cost-effective method to increase purchase quantity. Forthcoming in the *Journal of Academy of Marketing Science*.

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- 4 With Zeng, Y., Li, X. & Imas, A. (2023). The psychology of negative-sum competition in strategic interactions. *Journal of Personality and Social Psychology*, 125(4), 720–729.

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- 7 With Li, X. (2022). A framing effect in the judgment of discrimination. *PNAS*, 2022, Vol.119 (47), p.e2205988119-e2205988119

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- 20 With Li, X., (2019). Being “rational” is not always rational: Encouraging people to be rational leads to hedonically suboptimal decisions. *Journal of the Association for Consumer Research*, 4(2), 115-124.
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- 21 With Shen, L., & Talloen, J. (2018). The fun and function of uncertainty: Uncertain incentives reinforce repetition decisions. *Journal of Consumer Research*, 46(1), 69-81.
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- 24 With Zhu, M., Yang, Y. (2018). The Mere Urgency Effect. *Journal of Consumer Research*, 45(3), 673-690.
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项兵博士是长江商学院创办院长、中国商业管理及全球化杰出院长讲席教授。自 2023 年 12 月 31 号卸任院长后，担任长江商学院副理事长及院长资深顾问。长江商学院成立于 2002 年 11 月 21 日，是由李嘉诚基金会捐资创办的中国第一所拥有独立法人资格的非营利性商学院，致力于促进东西方在管理思想与商业实践的双向交流与学习。

在创办长江商学院之前，项兵博士曾任北京大学光华管理学院会计学教授及博士生导师，并主持创办了光华管理学院高级工商管理硕士项目（EMBA）。他还是中欧国际工商学院（CEIBS）首批（七名）核心教授之一，并曾执教于香港科技大学。

项兵博士曾担任多家在大陆、香港、美国上市公司的独立董事（包括四家世界 500 强企业）。他担任巴西 Fundação Dom Cabral 商学院国际咨询委员会理事、韩国延世大学商学院全球咨询委员会理事、阿曼皇家管理学院全球顾问委员会委员、澳门大学工商管理学院顾问委员会委员、中国科技大学科技商学院指导委员会委员、南方科技大学商学院顾问委员会委员、伦敦亚洲之家（Asia House）咨询委员会理事以及都柏林世界青年领袖峰会（One Young World Summit）顾问，还曾于 2011-2017 年间担任国际联合劝募协会（United Way Worldwide）的全球理事。

项兵博士专注研究中国的经济发展模式及企业变革，并探索其对全球经济治理的借鉴意义。项兵博士的研究领域包括：中国发展模式、中国国有企业制度改革及管理、中国民营企业发展及变革、经济上新生代迭代的力量等课题。项兵博士在中国商业发展的诸多领域，如中国企业创新、中国企业全球化、中国发展模式、中国经济转型对全球经

济的意义、社会创新、经济上新生代迭代的力量、中美关系、全球贸易及投资体系以及全球治理问题等方面被业界公认为学术权威与意见领袖。项兵博士也是新商业文明的重要倡导者。他的多篇文章及提出的多个理念，如“站在月球看地球”“以全球应对全球”，“新商业文明”以及“取势、明道、优术”等，都对管理实践产生了重大影响。

自 2015 年起，项兵博士不断强调应重视科学技术及商业模式创新以及社会创新，着力打造具有全球视野、全球资源整合能力与全球担当的经济上新生代迭代的力量。这一想法受到欧洲多所高校及组织的认可，目前已与加州大学伯克利工程学院、瑞士洛桑管理学院（IMD）、迪拜执行委员会（Dubai Executive Council）、联合国教科文组织、剑桥大学丘吉尔学院、日内瓦高级国际关系学院以及联合国贸易和发展会议等以多种合作形式实现落地；该议题也受到多位日本政要肯定，项兵博士于 2019 年 5 月访问日本国会并与包括当时日本外务大臣、现防务大臣河野太郎、公明党党首山口那津男和日本财务副大臣、众议院议员远山清彦进行会谈，诸位日本政要对项兵博士的观点纷纷表示赞同。

项兵博士还多次接受中国及全球主流媒体采访并发表评论，如新华社、中央电视台、凤凰卫视、《彭博商业周刊》、《金融时报》、《纽约时报》、英国广播公司、美国有线新闻网（CNN）、《星期日泰晤士报》（The Sunday Times）以及《西班牙世界报》（El Mundo）、《西班牙国家报》（El Pais）、法新社（AFP）等。

项兵博士曾与诸多世界领先企业合作，为其全球领导团队提供管理培训，这些企业包括安永会计师事务所、DFS 环球免税店、法国电力集团（EDF）、高伟律师事务所律师（Clifford Chance）、广州汽车集团、横滨轮胎、康明斯（Cummins）、雷诺日产联盟、日本精工株式会社（NSK）、印度尼西亚央行、中国移动、中国电信等。同时，他还为高盛（亚洲）、华为科技、IBM（中国）、联想、美的集团、TCL、通用（中国）、西门子（中国）、中国海洋石油、中国石油、中国铁建、中国银行、中国投资有限责任公司等企业，提供中国及全球业务发展管理培训。

项兵博士曾荣获《公益时报》授予的“2018 年度中国公益人物”“2020 年度中国公益人物”“2022 年度公益人物”称号，也曾荣获《南方周末》授予的“2020 年度责任先锋”奖项。

项兵博士拥有加拿大阿尔伯塔大学（University of Alberta）管理学博士学位和西安交通大学机械工程学士学位。

主要研究领域

项兵博士的研究兴趣包括：在全球经济不断变化下的新政企关系与经营策略，颠覆式创新，中国企业的全球化策略，企业的社会责任等。他被誉为是中国企业全球化的权威代表。

学术成就

项兵博士近年来一直积极倡导以“站在月球看地球”的全球视野，分析中国经济和民族企业所面临的机遇与挑战，并以其原创的“取势明道 优术”思维方式，为中国民族企业应对全球化挑战提出了一系列颠覆性的、前瞻性的理念、思想和思路：如 CEO 腐败与家族企业制度、现代企业制度的局限性、链条对链条的竞争、管理“四段论”、企业的价值取向、中国企业家的三代之分、新洋务战略、以全球应对全球、全球视野下的中国金融安全、企业家的人文精神。项兵博士的新视野与新思维在企业界引起很大反响，对管理实践正在产生较大的影响。项博士有关中国国企改革及家族企业在东亚“四小龙”中的作用的研究成果成为哈佛商学院、斯坦福商学院、欧洲工商管理学院（INSEAD）和西班牙 IESE 商学院在它们为世界银行举办的“高级经理人员培训班”的阅读材料。

项博士担任多间中国内地、香港及美国的上市公司的董事或者独立董事。一些知名中外传媒机构，如中国中央电视台（CCTV）、凤凰卫视、美国有线新闻网（CNN）、《远东经济论坛》、《中国企业家》杂志、环球资源（Global Sources）、《南华早报》、《纽约时报》、法新社和美国之音等等，就有关中国国企改革，民营企业发展和东南亚家族企业管理、中国管理教育及中国企业的全球化等问题对他进行专访。另外，他也经常应邀为全球知名机构（如世界大企业商会（Conference Board），Institutional Investor / Euromoney，香港的英国商会，台湾的美国商会等）做主题发言与演讲。

项兵博士在建立企业内部激励和监控体系，公司投资与购并，企业的全球化策略，高级管理人员（董事长、总经理、副总经理）特训与专训等方面和多家国企、民营企业、跨国公司有过广泛的合作。这些公司与机构包括：高盛（亚洲）、世界经济论坛（WEF）、美铝（ALCOA）、美国英格索兰（INGERSOLL - RAND）、诺基亚（NOKIA）、爱立信（ERICSSON）、GE、IBM、西门子（SIEMENS）、迅达电梯（SCHINDLER）、施耐德（SCHNEIDER）、英国宇航（BAE Systems）、UNTC、中国移动、广东 / 山东 / 河南 / 黑龙江 / 湖北 / 江西 / 新疆 / 浙江移动、中国电信、广东 / 新疆电信、华为科技、中信集团、招商局、朗讯科技（LUCENT TECHNOLOGIES）、陕西省委，云南省政府、中国工商银行、中国建设银行（湖北省）、上海商业银行 / 北京商业银行 / 上海浦发银行、深圳交易所、南方证券、华夏证券、

鹏华基金 / 易方达基金、联合证券、TCL、美的集团、长城资产管理公司、德龙集团、上海实业、上海石化、三一重工、神州数码、国家电网、山东电力集团、华龙集团、石家庄制药厂 / 四环医药 / 三九医药、中国海洋石油 (CNOOC)、大庆石油管理局、陕西省 / 福建省 / 广东省上市公司协会、浙江省工商联等。

主要学术成果

- 1 “Stationarity and Cointegration Tests of the Ohlson Model”, with Qi, D. & Wu, W., *Journal of Accounting, Auditing & Finance*, vol. 12(2), spring 2000.

- 2 “Institutional Factors Influencing China's Accounting Reforms and Standards”, *Accounting Horizons*, vol. 12 (2), 1998.

- 3 “The Reform of China's State-owned Enterprises: The Role of Stock Markets”, *China in the Post-Deng Era*, 1998.

- 4 “On the Rationality of Value Line's Quarterly Earnings Forecasts: Evidence from Alternative Methods”, *China Accounting Review*, 1997.

- 5 “Ownership Structure Reform and Corporate Performance: An Empirical Evaluation of Chinese Shareholding Companies”, with Wu, W. & Zhang, G., *Hong Kong Journal of Business Management*, 1996.

- 6 “Controlling Corruption.” *The Hong Kong Accountant*, September/October, 1996.

- 7 “The Choice of Return-Generating Models and Cross-Sectional Dependence in Event Studies”, *Contemporary Accounting Research*, vol. 9, 1993.



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教授简介

谢祖堉博士是高风咨询公司创始人兼 CEO、香港国际金融学会创会理事、香港中文大学商学院客座教授。他已经拥有 30 年战略和管理咨询和高层企业管理的经验，其中 20 年中，他建立和领导了数家国际著名咨询公司在大中华区的业务。首先为波士顿咨询公司（BCG）中国区总裁，之后为博斯咨询公司（Booz Allen Hamilton/Booz & Company）大中华区董事长以及全球高级合伙人。1988 年加入麦肯锡公司美国旧金山办事处开始管理顾问职业生涯。

国际商业界评价谢博士为“中国的全球领先商业战略家”；澳洲媒体 In The Black 称他为“中国管理咨询之父”；《中国日报》誉为“中国最富有经验的战略管理专家之一”。他已为国内外数百家企业（总部在国内和国外）、投资者、初创公司以及公共机构提供全方位的多种业务和中国与全球整合的咨询和执行服务。他的经验涵盖了众多行业，并为数家中国国有企业和中外合资企业担任独立或外部董事。此外，谢博士为世界银行、亚洲开发银行等国际金融机构以及中国一些地方政府提供过有关政策、国有企业改革、地方政府发展战略和开发区规划和竞争力等方面的咨询服务。谢博士的专业领域涵盖技术驱动型战略、数字化创新和创业、物联网、人工智能、机器人和智能城市等，还担任高风咨询公司与马恒达科技公司在上海合作成立的人工智能实验室联合主任和高风咨询公司与美国群硕软件公司合作成立的区块链实验室联合主任。

他曾担任过一系列高级管理等职务，包括波士顿咨询公司大中华区总裁（1993-1996）；香港特别行政区中央政策组的委员会成员（1998-2000）；香港电讯公司企业规划及业务拓展处执行副总裁及大中华区业务总裁（2000-2001）；博斯公司（前博思艾伦）全球高级合伙人兼大中华区董事长（1997-2014）；上海国家会计学院客座教授（2015-2018）；美国 Gridiron 基金投资公司高级 2 顾问委员会成员（2005 至今）；香港中文大学商学院客座教授（2016 至今）；香港特别行政区政府战略发展委员会成员（2013-2017）；团结香港基金会顾问（2017

至今)；香港数码港顾问委员会成员(2017至今)；香港国际金融学会董事(2018至今)；世界经济论坛全球未来委员会成员(2019至今)；中华开发资本国际高级顾问(2020年至今)；上海市政府特殊政策顾问(2010-2012)；《世界经理人》的商业战略研究专家；《哈佛商业评论》(中文版)的咨询编辑委员会成员；《哈佛商业评论在线》战略顾问；《哈佛商业评论》(中文版)的“管理行动奖”(2007-2009)及《中国企业家》的“未来之星奖”(2006-2009)等活动的评委；宝山钢铁股份有限公司独立董事(2006-2011)(该公司于2009年12月获上海证券交易所颁发的“年度董事会奖”)；上汽集团外部董事(2009-2012)、上海医药集团非执行董事(2013-2019)、香港中旅国际投资有限公司非执行董事(2018至今)等。

谢博士对以大中华区为核心的全球经营和管理有着自己深刻的见解，已著数百篇文章，被刊登在国内外各类杂志等媒体，如《南华早报》《中国日报》《亚布力观点》《哈佛商业评论》《福布斯》《华盛顿邮报》《第一财经》《财新全球》《灼见名家》《日经亚洲评论》等。

常受邀参与重大商业和学术领域的活动，多次受邀参加《亚布力中国企业家论坛》并主持专题讨论；参与了经济学人，南华早报，德国阿斯彭研究所、瑞士亚洲协会，苏黎世瑞士联邦理工学院，美国加州大学伯克利分校，美国斯坦福大学，澳洲悉尼大学，上海交通大学，同济大学，香港大学，香港中文大学，瑞银国际，里昂证券，野村证券，卢森堡人民币论坛、美国明尼苏达大学中国中心年度 Bob & Kim Griffin 演讲、2016“澳大利亚·中国周”数字化时代的战略合作伙伴关系专题研讨等在内的一系列活动。同时他参与了中国商务部、美国商会、欧盟商会、德国商会和国际金融论坛的活动并作专题演讲，而且接受过 CNBC、CNN、BBC、CGTN、Channel Network Asia、雅虎财经、香港电台和香港有线新闻等主流媒体的专访。

已撰写五本书籍，其中包括屡获殊荣的《中国战略》(The China Strategy, 2010年)和《创业家精神》(China's Disruptors, 2015年)。近期与黄昱合撰的《竞争新边界》亦已出版。

麻省理工学院土木工程学士、硕士；加州大学伯克利分校工程博士、MBA。在伯克利，作为就读学科最优异表现的学生，他是该校 Converse Prize 的获奖人。



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徐立新教授现为长江商学院经济学教授。曾任世界银行研究部首席（lead）经济学家，北京大学国发院木兰讲座访问教授，以及牛津大学兼职研究员。

在研究生涯里发表论文 60 多篇，包括 *American Economic Review*, *Journal of Economic Growth*, *Journal of Financial Economics*, *Journal of Development Economics*, *Journal of Labor Economics*, *Journal of Comparative Economics*, *Journal of Public Economics*, *Review of Economics and Statistics*。论文十多次列入世界知名大学研究生课程阅读书单，并被广泛引用。曾任多家学术杂志或学术平台（*China Economic Review*, *Annals of Economics and Finance*, *VoxChina*, *Frontiers of Economics in China*）编委。

主要研究领域

- 发展经济学
- 比较经济体制
- 公司治理
- 制度经济学
- 经济史
- 中国经济文化

主要学术成果

- 1 Formal and Informal Institutions Explaining China's Modern Economic Development: Their Historical Roots and Recent Evolution (with Mary Shirley). In C. Menard and M. Shirley eds., *Handbook of New Institutional Economics*, 2nd Edition. Springer. 2024

- 2 Property rights, power, and corporate investment (with Meng Miao, Dragon Tang), *Review of Finance*, Volume 28, Issue 2, March 2024, Pages 593–619.

- 3 Competence-Loyalty Tradeoff under Dominant Minority Rule: The Case of Manchu Rule 1650-1911 (With Shuo Chen, L. Colin Xu, and Xun Yan), *Journal of Public Economics* (2023): 220,104843.

- 4 Does FDI have a social demonstration effect in developing economies? (with Sheng Fang, Dean Xu, Heba Sham), *Journal of International Business Studies*, Volume 54, pages 1332–1350, (2023)

- 5 Political Survival, Local Accountability, and Long-Term Development: Evidence from An Authoritarian Country”, with Fang, H., Hou, L., Liu, M. and Zhang, P. *Journal of Comparative Economics*, Volume 51, Issue 1, March 2023, Pages 15-40.

- 6 Galiani, S., S. Knack, L.C. Xu, B. Zou. 2017. “The effect of aid on growth: Evidence from a quasi experiment.” *Journal of Economic Growth* 22(1), 1-33. Lead article. Featured in *The Economist*, August 16, 2014; listed in Easterly’s course on economic development in NYU, Fall, 2015, 2021; (Nobel prize winner) Kremer’s course on development at Harvard (2014); MIT’s Hidalgo’s course on Quantitative Research Method (2020), Univ. of Michigan’s “Applied method for development” (Prof. Montero, 2019); also in syllabus in Graduate Institute Geneva, Science Po. Reviewed in Nancy Qian’s survey on foreign aid in *Annual Review of Economics*.

- 7 Huang, Zhangkai, Lixing Li, Guangrong Ma, L. Colin Xu. 2017. “Hayek, Local Information, and Commanding Heights: Decentralizing State-Owned Enterprises.” *American Economic Review* 107(8), 2455-2478. Media mentions in Financial Times (Chinese version).

- 8 Cull, Robert, L. Colin Xu, Xi Yang, Li-An Zhou, and Tian Zhu. 2017. “Government facilitation and firm efficiency.” *Journal of Corporate Finance* 42, 460-480.

- 9 Huang, Fali, Ginger Jin, L. Colin Xu. 2017. “Love, Money, and Parental Goods: Does Parent Matchmaking Matter?” *Journal of Comparative Economics* 45(2), 224-245. Blogged by Wall Street Journal (2/12/2015); by Marginal Revolution, 2016/9/7. Featured in NBER website front page, 9/28/2016.

- 10 Zhang, Jing, L. Colin Xu. 2016. “Water Quality and Education in a Brawn-Based Economy: The Rural Drinking Water Program in China,” *Journal of Development Economics* 122, 1-15.

- 11 Cull, Robert, Wei Li, Bo Sun, Lixin Colin Xu. 2015. “Banks, the Government, and Financial Constraint: Evidence from a Large Sample of Chinese Firms,” *Journal of Corporate Finance* 32(C), 271-294.

- 12 Harrison, Ann, Justin Y. Lin, Lixin Colin Xu. 2014. “Explaining

Africa's (Dis)advantage", *World Development* 63, 59-77. November.

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- 14 Xu, Lixin Colin. 2011. "The Effects of Business Environments on Development: A Survey of New Firm-Level Evidence," *World Bank Research Observer* 26 (2), 310-340. A key survey on the investment climate work of the World Bank.
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- 15 Cai, Hongbin, Hanming Fang, Lixin Colin Xu. 2011. "Eat, Drink, Firms, Government: An Investigation of Corruption from Entertainment and Travel Costs of Chinese Firms." *Journal of Law and Economics* 54, 55-78, February. The most citation paper in JLE from 2011 forward. The ETC (eating, entertainment and travelling costs/sales) measure has become a standard measure of corruption in China because of this paper.
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- 16 Cull, Robert, Lixin Colin Xu, Tian Zhu. 2009. "Formal Finance and Trade Credit During China's Transition," *Journal of Financial Intermediation* 18(2), April, pp. 173-192.
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- 17 Fan, Joseph, Randall Morck, Lixin Colin Xu, Bernard Yeung. 2009. "Institutions and Foreign Direct Investment: China vs. the Rest of the World", *World Development* 37 (4), pp. 852-865. In a syllabus of Wesleyan Univ. (Prof. Hornstein, 2011) on "Multinational Enterprise."
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- 19 Cull, Robert, Lixin Colin Xu. 2005. "Institutions, Ownership, and Finance: The Determinants of Investment among Chinese Firms," *Journal of Financial Economics* 77, 117-146. Reprinted in *Entrepreneurship in Developing Countries (forthcoming)*. Elgar Reference Collection, International Library of Entrepreneurship, Eds by T. Beck. Cited more than 1,000 times in Google Scholar.
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- 20 Li, Wei, Lixin Colin Xu 2004. "The Impact of Privatization and Competition in the Telecommunications Sector around the World?" *Journal of Law and Economics* XLVII (2), 395-430. A key reference that was cited extensively by a textbook on privatization (Megginson, 2005). In syllabus of Stanford Law School, on "Regulated Industries" (2008).
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- 21 Clarke, George, Lixin Colin Xu. 2004. "Privatization, Competition, and Corruption: How Characteristics of Bribe Takers and Payers

Affect Bribe Payments to Utilities," *Journal of Public Economics* 88 (9-10), 2067-97. In Toulouse's course syllabus, "Governance, Industrial Organization and Development" (prof. Auriol); cited in International Handbook on the Economics of Corruption (ed. Rose-Ackerman and Soreide, 2011).

22 Mengistae, Taye, Lixin Colin Xu. 2004. "Agency Theory and Executive Compensation: The Case of Chinese State-Owned Enterprises," *Journal of Labor Economics*, 22(3), 615-38.

23 Cull, Robert, and Lixin Colin Xu. 2003. "Who Gets Credit? The Behavior of Bureaucrats and State Banks in Allocating Credit to Chinese SOEs," *Journal of Development Economics* 71, 533-559. In UC Berkeley's course on Political Economy of China (Prof. Lorentzen, 2008); U. Ottawa's course on China's Economy (Prof. Yongjing Zhang, 2014).

24 Hotz, V. Joseph, Lixin Colin Xu, Avner Ahituv, Marta Tienda. 2002. "Are There Returns to the Wages of Young Men from Working While in School?" *Review of Economics and Statistics* 84(2), p. 221-36.

25 Li, Wei, Lixin Colin Xu. 2002. "The Political Economy of Telecom Privatization and Competition" *Journal of Comparative Economics*, 30(3), 439-62. Appeared in a syllabus on International Business at U Michigan (Jan Svejnar, 2003), in a syllabus on Advanced Topics in Development Economics at UIUC (Prof. Esfahani, 2005).

26 Shirley, Mary, Lixin Colin Xu. 2001. "The Empirical Effects of Performance Contracts," *Journal of Law, Economics, and Organization* 17(1), 168-200. Cited in a JEL survey by Djankov and Murrell (2002) on Enterprise Restructuring in Transition.

27 Cull, Robert, Lixin Colin Xu. 2000. "Bureaucrats, State Banks, and the Efficiency of Credit Allocation: The Experience of Chinese State-Owned Enterprises," *Journal of Comparative Economics* 28, 1-31. Lead article. In UC Berkeley's course on Political Economy of China (Prof. Lorentzen, 2008). Cited in Kornai, Maskin and Roland (2003) in JEL survey on "Understanding the Soft Budget Constraint."

28 Li, Hongyi, Lixin Colin Xu, Heng-Fu Zou. 2000. "Corruption, Income Distribution, and Growth," *Economics and Politics*, 12(2), 155-82. One of the journal's top cited papers. Also listed in a syllabus of Columbia University, Harvard (2017, Prof. Rios, Corruption and Inequality), Georgetown University (Prof. Olofsgard, Political Economy of Growth, Redistribution and Poverty).

29 Shirley, Mary, Lixin Colin Xu. 1998. "Information, Incentive, and Commitment," *Journal of Law, Economics and Organization* 14(2), 358-78. Reprinted in *Contracts in the New Institutional Economics (2004): 357-77*. Elgar Reference Collection, International Library of the New Institutional Economics, vol. 3. Cheltenham, U.K. and Northampton, Mass.: Elgar, 2004. Also listed in MIT's course syllabus on Planning in Transition Economy for Growth and Equity.



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薛云奎教授，长江商学院会计学教授，西南大学博士，中国注册会计师。兼任上海财经大学教授、博士生导师，同时兼任万达商业地产、万达酒店发展、百年人寿、宝信软件、申通地铁等多家国内外上市及非上市公司独立董事。之前，曾担任上海国家会计学院创办副院长、上海财经大学会计学院副院长、汕头大学商学院院长、财政部国家会计学院教学指导委员会副主任委员、上海市司法会计鉴定专家委员会副主任委员等职务。

主要研究领域

- 中国会计准则制定
- 信息技术对会计系统影响
- 会计职业发展与会计职业道德教育
- 商业分析与公司定价

主要学术成果

- 1 薛云奎、白云霞，“国家所有权、冗余雇员与公司业绩”，《管理世界》，2008/10。
- 2 高雷、宋顺林、薛云奎，“关联交易、企业价值与公司特征——来自上市公司2000至2004年的经验证据”，中国会计与财务研究，2007.6。
- 3 李连军、薛云奎，中国证券市场审计师声誉溢价与审计质量的经验研究，《中国会计评论》，2007/03。
- 4 薛云奎、程敏，“盈余管理、公司治理与国有企业改革——来自中国上市公司国有股权变更的经验证据”《中国会计与财务研究》，2007/01。

- 5 薛云奎、饶艳超,《会计信息系统》,复旦大学出版社,2005。
- 6 薛云奎、齐大庆、韦华宁,中国企业战略执行现状及执行力决定因素分析,《管理世界》2005/09。
- 7 饶艳超、薛云奎,电子商务战略的量化管理方法——构建电子商务战略的平衡计分卡《财务与会计》,2004/12。
- 8 马曙光、黄志忠、薛云奎,股权分置、资金侵占与上市公司现金股利政策,《会计研究》,2005/09。
- 9 薛云奎、李连军,独立性:注册会计师职业的灵魂,《中国注册会计师》,2002/05。
- 10 薛云奎、王志台,无形资产信息披露及其价值相关性研究,《会计研究》,2001/11。
- 11 薛云奎、饶艳超,电子商务环境下我国的会计电算化研究,《中国会计年鉴2001》。
- 12 薛云奎,美国加拿大会计职业后续教育现状与未来发展,《财务与会计》,2001/06。
- 13 薛云奎、王志台,R & D 的重要性及其信息披露方式的改进,《会计研究》,2001/03。
- 14 薛云奎,财务会计发展的十大趋势,《中国会计年鉴2000》,中国财政杂志社,2001。
- 15 薛云奎,网络时代的会计变革,《中国会计年鉴2000》,中国财政杂志社,2001。
- 16 "Share-Trading, Capital Misappropriation and the Cash Dividend Policy of Publicly Listed Companies", with Huang, Z. and Ma, S., *Accounting Studies*, September 2005.
- 17 "Analysis on Strategy Implementation Status and the Decisive Factors of Implementation of China's Enterprises", with Qi, D. and Wei, H., *Management World*, September 2005.
- 18 "Studies on Information Disclosure toward Intangible Asset and Its Value Relevance", with Wang, Z., *Accounting Studies*, November 2001.
- 19 "The Importance of R&D and Improvements on Its Information Disclosure", with Wang, Z., *Accounting Studies*, March 2001.
- 20 "Financing and Accounting in the Network Era: Management Integration and Accounting Channel", *Accounting Studies*, November 1999.
- 21 "10 Tendencies of Financial Accounting Development", *Accounting Studies*, March 1999.



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教授简介

阎爱民博士现任长江商学院管理学教授、高级副院长，负责校友事务、政府关系、校区建设及行政事务。此前曾任美国波士顿大学组织行为学教授（终身教职）、组织行为学 Ph.D. 学术主任、国际 MBA 学术主任，和人力资源战略研究所研究所所长。2002 年作为创始教授之一参与长江商学院创建，并任客座教授至 2014 年 5 月。阎教授为长江商学院 EMBA、MBA 和 FMBA 讲授《管理学概论》《组织行为学》《组织变革与转型》及《战略联盟》等课程。

在 *Academy of Management Journal*, *Academy of Management Review*, *Journal of International Business Studies*, *Journal of Applied Psychology*, *Human Relations* 等学刊发表过多篇论文，并出版过学术专著，关于美中合资企业的研究获全美管理学会的最佳论文奖。在企业全球战略管理方面，被 *Journal of International Management* 列为“最多产”、同时“最具学术影响力”的全球 9 位学者之一。任 *Journal of International Management*, *Journal of Management and Organization*, 哈佛商业评论（中文版）编委。

主要研究领域

- 国际合资企业
- 全球战略伙伴与联盟
- 组织设计
- 变革与发展
- 管理者职业生涯

主要学术成果

- 1 Faraj, S. & Yan, A. 2009. Boundary work in knowledge teams. *Journal of Applied Psychology*, 94(3): 604-617.

- 2 Manev, I.M., Yan, A. & Manolova, T.S. 2005. Toward a model of governance and legality of firms in transforming economies: Empirical evidence from China. *Thunderbird International Business Review*, May-June: 313-334.

- 3 Yan, A. & Duan, X. 2003. Interpartner fit and its performance implications: A four-case study of U.S.-China joint ventures. *Asia Pacific Journal of Management*, December, 20(4): 541-564.

- 4 Johnson-Cramer, M., Cross, R., & Yan, A. 2003. Sources of fidelity in purposive organizational change: Lessons from a reengineering case. *Journal of Management Studies*, 40(7): 1837-1870.

- 5 Yan, A., Zhu, G., & Hall, D.T. 2002. International assignments for career building: Agency relationships and psychological contracts. *Academy of Management Review*. 27(3): 373-391.

- 6 Shenkar, O. & Yan, A. 2002. Failure as a consequence of partner politics: Learning from the life and death of an international cooperative venture. *Human Relations*. 55(5): 565-602.

- 7 Manolova, T.S. & Yan, A. 2002. Institutional constraints and strategic responses of new and small firms in a transforming economy: The case of Bulgaria. *International Small Business Journal*. 20(2): 163-184.

- 8 Hall, D.T., Zhu, G., & Yan A. 2001. Developing global leaders: To hold on to them, let them go! *Advances in Global Leadership*, 2: 327-349.

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- 12 Cross, R., Yan, A. & Louis, M. 2000. Boundary activity in boundaryless organizations: A case study of a transformation to a team-based structure. *Human Relations*, 53(6): 841-868.



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教授简介

于刚，岗岭集团董事局执行主席，联合创始人。武汉大学学士，康乃尔大学硕士，宾西法尼亚大学沃顿商学院博士。

于刚博士是 1 号店联合创始人，荣誉董事长。曾任戴尔 Dell 全球采购副总裁和亚马逊 Amazon 的全球供应链副总裁。

于刚博士曾任美国德州大学奥斯汀分校管理学院终身教授和座席教授，曾在 2002 年获得国际 INFORMS 协会颁发的 Franz Edelman 管理科学成就奖。于 2002 年和 2003 年两次获得国际工业工程师协会颁发的优秀研究奖和最佳论文奖，于 2012 年获得国际 POMS 协会 Martin Starr 生产与运营管理卓越实践奖。于刚博士国际专业杂志上发表过 80 多篇文章，著书 4 部，获有三个美国专利。

于刚博士曾于 1995 年在美国创建了科莱科技公司。科莱科技在 2002 年被艾森哲 (Accenture) 并购。

主要研究领域

- 电子商务
- 互联网

主要学术成果

- 1 Yu, G., J. Pachon, B. Thengvall, D. Chandler, and A. Wilson, "Optimized Pilot Planning and Training at Continental Airlines", *Interface*, 34(4), 253-264, 2004.

- 2 Bard, J., X. Qi, and G. Yu, "Class Scheduling for Pilot Training," *Operations Research*, 51(6), 2003.

- 3 G., M, Arguello, M. Song, S. McCowan, and A. White, "A New Era for Crew Recovery at Continental Airlines," *Interfaces*, 33(1), 5-22, 2003.

- 4 Thengvall, B., J. Bard and G. Yu, "Solving a Large Multicommodity Network Problem by Using a Bundle Algorithm," *Transportation Science*, 37(4), 392-407, 2003.

- 5 Karabati, S., P. Kouvelis and G. Yu, "A Min-Max Sum Resource Allocation Problem and its Applications," *Operations Research*, 49(6), 913-922, 2001.

- 6 Cooper, W.W., K.S. Park, and G. Yu, "Application of IDEA (Imprecise Data Envelopment Analysis) to a Korean Mobile Telecommunication Company", *Operations Research*, 49(6), 807-820, 2001.

- 7 Cooper, W. W., K.S. Park, and G. Yu., "IDEA and ARIDEA: Models for Dealing with Imprecise Data in DEA", *Management Science*, 45, 4, 597-607, 1999.

- 8 Wei, Q.L., and G. Yu, "Analyzing Properties of K-cones in the Generalized Data Envelopment Analysis Model", *Journal of Econometrics*, 80, 63-84, 1997.

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- 10 Lasdon, L.S., J.C. Plummer, and G. Yu, "Primal and Primal-Dual Interior Point Algorithms for General Nonlinear Programs", *INFORMS Journal on Computing*, 7(3), 321-332, 1995.

- 11 Karabati, S., P. Kouvelis, and G. Yu, "The Discrete Resource Allocation Problem in Flow Lines", *Management Science*, 41, 1417-1430, 1995.



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张昱博士现为长江商学院经济学助理教授。于 2018 年获得美国弗吉尼亚大学经济学博士学位。其研究成果发表在国际货币基金组织经济研究 (IMF Economic Review), 宏观动态 (Macroeconomic Dynamics) 等国际学术期刊上。曾在美国联邦储备银行堪萨斯分行 (Federal Reserve Bank of Kansas City) 担任论文实习生 (Dissertation Internship), 在泛美开发银行 (Inter-American Development Bank) 和美国统计局三角洲数据中心 (Triangle Census Research Data Center) 担任研究员。

主要研究领域

- 宏观金融
- 国际宏观经济学

主要学术成果

PUBLICATIONS

- 1 Mukoyama, Toshihiko, and G. Zhang (2019). Jobs Before College Completion and Career Building of Young Workers Through Job Switching. *Macroeconomic Dynamics*, 23(7), 2892-2940.
- 2 Hausmann-Guil, Guillermo, E. van Wincoop and G. Zhang (2016). The Great Recession: Divide between Integrated and Less Integrated Countries. *IMF Economic Review*, 64: 134-176.
- 3 Boyd, Gale and G. Zhang (2013). Measuring improvement in energy efficiency of the US cement industry with the ENERGY STAR Energy Performance Indicator. *Energy Efficiency*, 6: 105-116.

WORKING PAPERS

- 1 Miranda-Pinto, Jorge, and G. Zhang. Trade Credit and Sectoral Comovement
- 2 De Nicolo, Gianni, A. Presbitero, A. Rebucci, and G. Zhang. Technology Adoption, Market Structure, and the Cost of Bank Intermediation
- 3 Luo, Mi, C. Tian, P. Wu, and G. Zhang. Sequential Movement Pattern of Firm Births and Deaths at the State Level
- 4 Viard, Brian, and G. Zhang. Financial Deregulation, ESG Ratings, and Their Impacts
- 5 Bai, John, T. Mukoyama, and G. Zhang. Entrepreneurship and Financial Deregulation



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张维宁，博士，2012年加入长江商学院，MBA项目学术主任，高层管理教育项目学术主任，副教授（终身教授）。张教授毕业于美国得克萨斯大学达拉斯分校，获得管理学（会计方向）博士学位，曾任教于新加坡国立大学商学院。张教授长期致力于研究并教授商业模式，财务分析等，深受学生好评。张教授曾获得2017年IBM全球杰出学者奖（IBM Global Faculty Award）。

张教授曾多次在国内外重要机构，大学和会议，如第十届中美政党高层对话美方晚宴演讲，国务院发展研究中心、美国经济研究局、北大中国发展研究院、中国国家行政学院、哈佛大学、北京大学、清华大学、中国人民大学、台湾国立大学、香港科技大学、香港大学、新加坡国立大学、经济学人年会、美国会计年会、美国金融年会、Economist经济学人年度论坛、IBM年度论坛、阿里巴巴云栖大会等发表学术演讲或授课。

张教授在业界也有广泛的影响力，曾为阿里巴巴、百度、腾讯、京东、永辉超市、创梦天地等多家企业提供咨询，或任高级顾问，曾深度参与数十个互联网初创企业和转型企业的战略、产品、运营和融资规划。曾与包括字节跳动、阿里研究院、腾讯互动娱乐、百度联盟、京东在内的多家机构合作研究，撰写了蚂蚁金服、百度联盟、腾讯IP战略、IBM人工智能、今日头条、飞书、微影时代、哪儿网、新东方、格力电器等公司的案例。

主要研究领域

- 人工智能驱动力
- 组织变革
- 商业模式
- 公司信息披露
- 高管薪酬

主要学术成果

- 1 “Does Political Uncertainty Obfuscate Narrative Disclosure?”, with Mohamed Mekhaïmer and Marwa Soliman. Accepted by *The Accounting Review*.
- 2 “Proprietary Information Cost of Contracting with the Government”, with Jiapeng He, Kevin Li, and Ningzhong Li. *The Accounting Review* (2024) 99 (2): 195–227.
- 3 “Managerial Career Concerns and Corporate Tax Avoidance: Evidence from the Inevitable Disclosure Doctrine”, with Ningzhong Li and Terry Shevlin. *Contemporary Accounting Research*, Vol. 00, 2022.
- 4 “Restrictions on Managers’ Outside Employment Opportunities and Asymmetric Disclosure of Bad versus Good News”, with Ashiq Ali and Ningzhong Li. *The Accounting Review*, Vol. 94, 2019 (Lead article).
- 5 “Management Team Incentive Dispersion and Firm Performance”, with Robert Bushman and Zhonglan Dai. *The Accounting Review*, Vol. 91, 2016.
- 6 “Conservative Reporting and Securities Class Action Lawsuits”, with Michael Ettredge and Ying Huang. *Accounting Horizon*, Vol. 30, 2016.
- 7 “An Evaluation of Chinese Firms’ Profitability: 2005-2013”, with Jing Liu and James Ohlson. *Accounting Horizon*, Vol. 29, 2015.
- 8 “CEO Tenure and Earnings Management”, with Ashiq Ali. *Journal of Accounting and Economics*, Vol. 59, 2015.
- 9 “Discussion of Board Interlocks and the Diffusion of Disclosure Policy”. *Review of Accounting Studies*, Vol. 19, No. 3, September 2014.
- 10 “Executive Pay-Performance Sensitivity and Litigation”, with Zhonglan Dai and Li Jin. *Contemporary Accounting Research*, Vol. 31, Issue 1, Spring 2014.
- 11 “Do Institutional Investors Pay Attention to Customer Satisfaction and Why?”, with Xueming Luo, Ran Zhang and Jaakko Aspara. *Journal of the Academy of Marketing Science*, Vol. 42, Issue 2, March 2014.
- 12 “Voluntary Disclosure and Information Asymmetry: Evidence from the 2005 Securities Offering Reform”, with Nemit O. Shroff, Amy X. Sun, and Hal D. White, *Journal of Accounting Research*, Vol. 51, Issue 5, pages 1299–1345, December 2013.
- 13 “Restatement Disclosures and Management Earnings Forecasts”, with Michael Ettredge and Ying Huang. *Accounting Horizon*, Vol. 27, Issue 2, June 2013.
- 14 “Asymmetric Cash Flow Sensitivity of Cash Holdings”, with Dichu Bao and Kam C. Chan. *Journal of Corporate Finance*, Vol. 18, 2012.
- 15 “Earnings Restatements and Differential Timeliness of Accounting Conservatism”, with Michael Ettredge and Ying Huang. *Journal of Accounting and Economics*, Vol. 54, Issue 2, 2012 (Leading article in the Issue).



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教授简介

张晓萌博士现为 EMBA 项目副院长，组织行为学副教授，领导力与行为心理研究中心主任，隽永 - 女性领导力项目学术主任。加入长江商学院前，晓萌教授曾在位于美国华盛顿特区的第一所商学院 - 美利坚大学 (American University) 科歌德商学院 (Kogod School of Business) 担任管理学副教授，并拥有终身教授教职。她的研究专注于领导力与心理学的交叉领域，包括个人心理韧性、组织复原力、领导力认知、组织激励、团队协作、人才梯队建设以及心理学在这些领域的应用。晓萌教授在多个世界顶级学术刊物上发表过文章，包括《管理学会学报》(Academy of Management Journal)、《应用心理学杂志》(Journal of Applied Psychology)、《组织科学》(Organizational Science)、《人事心理学》(Personnel Psychology)、《组织行为与人力决策过程》(Organizational Behavior and Human Decision Processes)、《管理杂志》(Journal of Management)、《管理研究杂志》(Journal of Management Studies) 以及《人力资源管理评论》(Human Resource Management Review) 等。此外，晓萌教授还曾讲授领导力、管理学原理、组织行为学、组织与人力资源管理、商业政策、以及中国的国际商业惯例实践等课程。她拥有中山大学经济学与工商管理学士学位 (荣获优秀毕业生)、美国马里兰大学 (University of Maryland) 史密斯商学院 (R.H. Smith School of Business) 组织行为学及人力资源管理博士学位。晓萌教授凭借她出色的学术成果斩获美国佩恩杰出研究成就奖 (Paine Award)，并连续入选 2019 年至 2023 年“中国高被引学者榜单”。2022 年，晓萌教授出版《韧性》和《韧性手册》，并于 2022 年底上榜“中信出版社 2022 年度好书”，同时荣获“2022 年中信年度致敬作者”奖。在“她势界·2023 女性影响力大赏”中获得“年度科教人物”奖。

主要学术成果

- 1 《韧性 - 不确定时代的精进法则》张晓萌、曹理达，中信出版社，2022-8
- 2 《韧性手册》中信出版社，2022-12
- 3 Qu, H., Zhang, Y., Walter, F., & Zhang, X. Unpacking the role of job insecurity for employee creativity: A multi-dimensional perspective. (Conditional acceptance) *Journal of Management*.
- 4 Xu, X., Li, M., Kwan, H. K., & Zhang, X. The antecedents of moral identity: A meta-analytic review. *Asia Pacific Journal of Management* (forthcoming).
- 5 Zhang, X. M. (2022-August). Activating the Resilient Flywheel amidst the Crisis. *Harvard Business Review* (China).
- 6 Zhang, X. M. (2022-February). Organizational resilience: from consensus to empathy. *Harvard Business Review* (China).
- 7 Huang, G., Zhang, Y., Zhang, X. M., & Long, L. (2021). Job Insecurity, commitment, and proactivity toward the organization and one's career: Age as a condition. *Human Resource Management Journal*, 31:532-552.
- 8 Zhang, X. M. (2020). H.E.R. leadership: Bridging the gender gap by degendering. *Harvard Business Review*(China), 11:138-141.
- 9 Sharon, N. S., Zhang, H., & Zhang, X. M. (2020). Emotional labor and employee creativity: A multi-level investigation of psychological attachment/detachment and team creative efficacy. *Creativity Research Journal*, 32-3: 287-298.
- 10 Liu, X.-Y., Kwan, H. K., & Zhang, X. M. (2020). Introverts maintain creativity: A resource depletion model of gossip. *Asia Pacific Journal of Management*, 37: 325-344.
- 11 Zhang, X. M. (2019). Chinese executive leadership transformation: Leading change by change. *Harvard Business Review* (China), 4: 138-140.
- 12 Zhang, X. M., & Kwan, H. K. (2019). Team behavioral integration links team interdependence with team performance: An empirical investigation in R&D teams. *Frontiers of Business Research in China*, 13 (1): 7-26.
- 13 Zhang, X. M., & Kwan, H. K. (2019). Empowering leadership and team creativity: The roles of team learning behavior, team creative efficacy, and team task complexity. In C. Mainemelis, O. Epitropaki, & R. Kark (Eds.), *Creative leadership: Contexts and Prospects*. 95-121. New York, NY: Routledge.

- 14 Kwan, H., Zhang, X. M., Liu, J., & Lee, C. (2018) Workplace ostracism and employee creativity: An integrative approach incorporating pragmatic and engagement roles. *Journal of Applied Psychology*, 103 (12): 1358-1366.
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- 15 Zhang, X. M., & Kwan, H. (2018). Team learning goal orientation and innovation: Roles of transactive memory system and task interdependence. *Journal of Business Management and Administration*, 1 (109): 2-10.
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- 16 Zhang, X. M., & Zhou, J. (2017). Configuring challenge and hindrance contexts for introversion and creativity: Joint effects of task complexity and guanxi management. *Organizational Behavior and Human Decision Processes*, 143: 54-68 (equal contribution).
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- 17 Zhang, X. M. & Bartol, K. M. (2015). Empowerment and employee creativity: A comprehensive review. In C. Shalley, M. Hitt, & J. Zhou (Eds.) *The Oxford Handbook of Creativity, Innovation, and Entrepreneurship*. New York: Oxford University Press, 31-45.
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- 18 Zhang, X. M. & Kwan, H. K. (2015). Workplace ostracism and employee performance outcomes: The pragmatic and psychological effects. *Academy of Management Best Paper Proceedings*.
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- 19 Zhang, X. M., & Zhou, J. (2014) Empowering leadership, uncertainty avoidance, trust, and employee creativity: Interaction effects and a mediating mechanism. *Organizational Behavior and Human Decision Processes*, 124: 150-164 (equal contribution).
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- 20 Zhang, H. Kwan, H. K., Zhang, X. M., & Wu, L-Z. (2014). High core self-evaluations maintain creativity: A motivational model of abusive supervision. *Journal of Management*, 40 (4): 1151-1174.
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- 21 Seo, M., Taylor, S., Hill, S., Zhang, X. M., Tesluk, P., & Lorinkova, N. (2012) The role of affect and leadership during organizational change. *Personnel Psychology*, 65: 121-165.
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- 22 Wu, L., Yim, F., Kwan, H. K., & Zhang, X. M. (2012) Coping with workplace ostracism: The roles of ingratiation and political skill in employee psychological distress. *Journal of Management Studies*, 49 (1): 178-199.
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- 23 Wang, S., Zhang, X. M., & Martocchio, J. (2011) Thinking outside of the box when the box is missing: role ambiguity and its linkage to creativity. *Creativity Research Journal*, 23 (3): 211-221.
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- 24 Zhang, X. M., & Bartol, K. M. (2010). The influence of creative process engagement on employee creative performance and overall job performance: A curvilinear assessment. *Journal of Applied Psychology*, 95 (5): 862-873.

- 25 Zhang X. M., & Chen, Y., & Kwan, M. (2010). Empowering leadership and creativity in R & D teams: The roles of team learning behavior, team creative efficacy, and team task complexity. *Academy of Management Best Paper Proceedings*.
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- 26 Zhang, X. M., & Bartol, K. M. (2010). Linking empowering leadership and employee creativity: The influence of psychological empowerment, intrinsic motivation, and creative process engagement. *Academy of Management Journal*, 53(1): 107-128.
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- 27 Zhang, X. M., Bartol, K. M., Smith, K. G., Pferrer, M., & Khanin, D. (2008). CEO on the edge: Earnings manipulation and stock-based incentive misalignment. *Academy of Management Journal*, 51 (2): 241-258.
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- 28 Pferrer, M., Smith, K. G., Bartol, K. M., Khanin, D., & Zhang, X. M. (2008). Coming forward: The effects of social and regulatory forces on the voluntary restatement of earnings. *Organization Science*, 19: 386-403.
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- 29 Somaya, D., Williamson, I. O., & Zhang, X. M. (2007). Combining patent law expertise with R & D for patenting performance. *Organization Science*, 18 (6): 922-937.
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- 30 Bartol, K. M., & Zhang, X. M. (2007) Networks and leadership development: Building linkages for capacity acquisition and capital accrual. *Human Resource Management Review*, 17: 388-401.
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- 31 Zhang, X. M., & Sims, H. P, Jr. (2005). Leadership, collaborative capital, and innovation. In M. Beyerlein, S. Beyerlein, & F. Kennedy (Eds.), *Advances in Interdisciplinary Studies of Work Teams*. Elsevier/JAI annual series, Volume 11. JAI Press.



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教授简介

张兴潭博士现为长江商学院金融学副教授，金融理论组（Finance Theory Group）会员。此前他是科罗拉多大学博尔德分校 Leeds 商学院金融系助理教授。他于 2017 年在宾夕法尼亚大学沃顿商学院获得应用经济博士学位，于 2013 年在宾夕法尼亚大学获得应用数学博士学位，于 2009 年在北京大学获得数学与应用数学本科学位。

张兴潭博士论文发表在 *Econometrica*, *Review of Financial Studies*, *Journal of Economic Theory*, *Management Science* 等期刊。

主要研究领域

- 金融中介
- 资产定价
- 行为经济
- 机制设计
- 产业组织

主要学术成果

- 1 “Collective Decision Through an Informed Mediator” (with Yunan Li) *Journal of Economic Theory*, forthcoming

- 2 “Pricing Implications of Noise” (with Christian Goulding and Shrihari Santosh) *Review of Financial Studies*, 36, June 2023, pp. 2468-2508

- 3 “A Model of Product Line Marketing” (with Chuan He and Shaowei Ke) *Management Science*, 68, August 2022, pp. 6100-6115

- 4 “Long-Term Contracting with Time-Inconsistent Agents” (with Daniel Gottlieb) *Econometrica*, 89, March 2021, pp. 793-824

- 5 “On the Efficiency of Long Intermediation Chains” (with Vincent Glode and Christian Opp) *Journal of Financial Intermediation*, 38, April 2019, pp. 11-18

- 6 “Voluntary Disclosure in Bilateral Transactions” (with Vincent Glode and Christian Opp) *Journal of Economic Theory*, 175, May 2018, pp. 652-688



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教授简介

郑渝生博士现为长江商学院荣誉退休教授，曾任长江商学院运营管理学教授、宾夕法尼亚大学沃顿商学院终身正教授。郑教授在国际著名学刊发表论文 30 余篇，多次在国际重大学术和商务会议上发表演讲；曾担任《经营管理研究》学刊副编辑和《管理学》编委会委员；曾为多家国际知名公司提供咨询服务。

主要研究领域

- 存货管理
- 供应链管理
- 制造业经营管理
- 服务业经营管理和财务分析模型

主要学术成果

- 1 “A Demand-Focused Decentralization Scheme for Serial Supply Chains”, with Watson, N., *Manufacturing and Service Operations Management*, vol. 7, 152-168, 2005.

- 2 “Setting Customer Expectation in Service Delivery: An Integrated Marketing-Operations Perspective”, with Ho, T., *Management Science*, vol. 50, 479-488, 2004.

- 3 “Near Optimal Pricing and Replenishment Strategies for a Retail/Distribution System”, with F. Chen and A. Federgruen, *Operations Research*, Vol. 49, 839-853, 2001.

- 4 “Coordination Mechanisms for Decentralized Distribution Systems”, with F. Chen and A. Federgruen, *Management Science*, Vol. 47, 693-708, 2001.

- 5 “Ending Inventory Valuation in Multi-Period Production Scheduling”, with Fisher, M. and Ramdas, K., *Management Science*, vol. 47, 2001.

- 6 “A Two-Echelon Repairable Inventory System with Stocking-Center-Dependent Depot Replenishment Lead Times”, with Wang, Y. and Cohen, M., *Management Science*, vol. 46, 2000.

- 7 “Optimal Dynamic Pricing for Perishable Assets with General Nonhomogeneous Demand”, with W. Zhao, *Management Science*, Vol. 46, 375-388, 2000.

- 8 “Near-Optimal Echelon-Stock (r,nQ) Policies in Multi-Stage Serial Systems”, with F. Chen, *Operations Research*, Vol. 46, 592-602, 1998.

- 9 “One Warehouse Multi-Retailer Systems with Centralized Information”, with F. Chen, *Operations Research*, Vol. 45, 275-287, 1997.

- 10 “Efficient Algorithms for Finding Optimal Power-of-Two Policies for Production/Distribution Systems with General Joint Setup Costs”, with A. Federgruen, *Operations Research*, Vol. 43, 458-470, 1995.



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钟灵教授是长江商学院经济学助理教授，她拥有耶鲁大学经济学博士学位，研究领域主要包括劳动经济学、教育经济学、公共经济学及中国经济。钟灵教授在学术上兴趣广泛，在经济学研究中主要关注高等教育人才的职业选择与收入分布、中国流动人口的社会影响、及中国司法裁判的平等问题。在《劳动经济学季刊》(*Journal of Labor Economics*)、《公共科学图书馆：综合》(*PLOS ONE*)、《IEEE 视频技术电路与系统汇刊》(*IEEE Transactions on Circuits and Systems for Video Technology*)、《古生物学》(*Paleobiology*)、《极地研究》等国际学术期刊有研究成果发布。

主要研究领域

- 劳动经济学
- 教育经济学
- 中国经济

主要学术成果

- 1 “The Labor Market Returns to Advanced Degrees.” with Joseph Altonji. *Journal of Labor Economics*, 39.2 (2021): 303-360.

- 2 “Effects of Stay-at-home Orders on Skill Requirements in Vacancy Postings.” with Ran Gu (2023), *Labour Economics*, Volume 82.

- 3 “The Effects of Advanced Degrees on the Wage Rates, Hours, Earnings and Job Satisfaction of Women and Men” with Joseph Altonji and John Eric Humphries, *Research in Labor Economics*, 2023. Volume 50, pp. 25-81.

- 4 “A Dynamic Pandemic Model Evaluating Reopening Strategies Amid COVID-19”. *PLoS one*. 2021 Mar 26;16(3):e0248302.

- 5 “Optimal Control: Application and Applicability in Times of Pandemics, “ (2021) with Ilias Elmouki, Abdelilah Jraifi and Aziz Darouichi. *Integrated Science*, Volume 6, Chapter TBD.

- 6 “Cooperative Tile-based 360-degree Panoramic Streaming in Heterogeneous Networks using Scalable Video Coding,” (2020) with Xiaoyi Zhang, Xinjue Hu, Shervin Shirmohammadi and Lin Zhang, *IEEE Transactions on Circuits and Systems for Video Technology*, Volumn 30, Issue 1, pp. 217-231.

- 7 “Estimating the number of pulses in a mass extinction” (2018) with Steve Wang, *Paleobiology*, Volume 44, Issue 2, pp.199-218.

- 8 “Characteristics of Major Ions in Snow and River Water in Svalbard” (2009) with Shichang Kang, Yanwei Xu and Qianggong Zhang, *Chinese Journal of Polar Research*, Volume 21, Issue 1, pp. 76-79.



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教授简介

周春生教授现任长江商学院金融学教授、高层管理教育项目副院长、EMBA 项目学术主任、长江商学院教育发展基金会理事长。周春生教授长期从事金融和经济学研究，系长江商学院常驻教授，国家杰出青年基金获得者。周教授早年跳过本科阶段，直接进入研究生阶段学习，并先后获得北京大学数学硕士学位和美国普林斯顿大学金融经济学博士学位。周教授曾担任美联储经济学家以及中国证监会规划委员会委员（副局级），以及深交所第一至第三届上市委委员。周教授也曾先后在加州大学、香港大学、以及北京大学担任教授职务。周教授在金融领域的研究多次获奖，近年在新经济和数字经济研究中也取得了一系列重要成果，开创性地提出了无限供给理论和新二元经济理论，受到广泛关注。

主要研究领域

- 金融
- 数字经济
- 新质生产力

主要学术成果

- ① 《新二元经济：新经济繁荣与传统经济再造》，周春生（主著），汪社良（参著），中信出版集团，2022.3
- ② 《无限供给：数字时代的新经济》作者：周春生 扈秀海，中信出版集团，2020.9
- ③ “The Illusionary Nature of Momentum Profits”, with Lesmond and Schill, *Journal of Financial Economics*, February 2004, 71(2): 349-380.
- ④ “Credit Derivatives in Banking: Useful Tools for Managing Risk?”, with Duffee G., *Journal of Monetary Economics*, August 2001, 48(1): 25-54.
- ⑤ “An Analysis of Default Correlation and Multiple Defaults”, *Review of Financial Studies*, 2001, 14(2): 555-576.
- ⑥ “Time to Build and Investment”, *Review of Economics and Statistics*, 2000, 82(2): 273-282.
- ⑦ “Informational Asymmetry and Market Imperfections: Another Solution to the Equity Premium Puzzle”, *Journal of Financial and Quantitative Analysis*, December 1999, 34(4): 445-464.



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朱睿教授现为长江商学院市场营销学教授，ESG 及社会创新中心主任。加入长江商学院之前，曾任加拿大英属哥伦比亚大学尚德商学院（University of British Columbia）市场营销系副教授、系主任，及加拿大国家研究教授，美国莱斯大学（Rice University）市场系助理教授。2003 年于美国明尼苏达大学（University of Minnesota）获得商业管理博士并辅修心理学专业。

研究方向关注行为科学，商业向善与社会创新，以及品牌管理。研究成果被发表在世界顶尖级学术期刊上，其中包括，科学（*Science*）、消费者研究杂志（*Journal of Consumer Research*），和市场研究杂志（*Journal of Marketing Research*）。现任市场杂志（*Journal of Marketing*）的副主编。2020 年出版《未来好企业：共益实践三部曲》一书，2022 年出版《决策的逻辑：生活中的行为心理学》一书。

主要研究领域

- 行为科学
- 商业向善，社会创新

主要学术成果

- 1 Chae, Boyoun (Grace), Sangsuk Yoon, Ernest Baskin, and Rui (Juliet) Zhu (2023), "The lasting smell of temptation: Counteractive effects of indulgent food scents," *Journal of Business Research*, Volume 155, Part A, January, 113437.
- 2 Wang, Chen, Ravi Mehta, Rui (Juliet) Zhu, and Jennifer Argo (2019), "Dim or Bright? The Impact of Ambient Illuminance on Consumer Response to Innovative Solutions," forthcoming, *Journal of the Association for Consumer Research*, volume 4, number 3. Published online May 13, 2019.
- 3 Su, Lei, Yuwei Jiang, and Rui (Juliet) Zhu, "The Shape of Money (2019): The Impact of Financial Resources on Product Shape Preference," *Journal of the Association for Consumer Research*, special issue on Transformative Consumer Aesthetics, Vol. 4, number 4.
- 4 Tong, Luqiong, Rui (Juliet) Zhu, Yuhuang Zheng, and Ping Zhao (2018) "Warmer or Cooler, The Influence of Ambient Temperature on Complex Choices," *Marketing Letters* vol, 29, pages337-350(2018).
- 5 Rui (Juliet) Zhu and Ravi Mehta (2018), "Sensory Experiences and Consumer Creativity," *Journal of Association for Consumer Research*, 2, 472-84.
- 6 Chae, Boyoun (Grace), Darren Dahl, and Rui (Juliet) Zhu (2017), "'Our' Brand's Failure Leads to 'Their' Product Derogation," *Journal of Consumer Psychology*, 27, 466-472
- 7 Mehta, Ravi, Darren Dahl, and Rui (Juliet) Zhu (2017), "Social-Recognition versus Financial Incentives? Exploring the Effects of Creativity-Contingent External Rewards on Creative Performance," *Journal of Marketing Research* (44), 536-553.
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- 11 Zhu, Rui (Juliet), and Jennifer Argo (2013), "Exploring the Impact of Various Shaped Seating Arrangements on Persuasion," *Journal of Consumer Research*.

- 12 Chae, Boyoun (Grace), Xiuping Li, and Rui (Juliet) Zhu (2013), "Judging Product Effectiveness from Perceived Spatial Proximity," *Journal of Consumer Research*.

- 13 Wang, Jing, Rui (Juliet) Zhu, Baba Shiv (2012), "The Lonely Consumer: Loner or Conformer?," *Journal of Consumer Research*, 38 (April), 1116-1128.

- 14 Mehta, Ravi, Rui (Juliet) Zhu, Amar Cheema (2012), "Is Noise Always Bad? Exploring the Effects of Ambient Noise on Creative Cognition," *Journal of Consumer Research*, (December)

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- 16 Wang, Jing, Rui (Juliet) Zhu, Baba Shiv (2011), "How Does Loneliness Affect Information Processing and Consumer Choices", *Journal of Consumer Research*.

- 17 Meyers-Levy, Joan, Rui (Juliet) Zhu, and Jiang Lan (2010), "Context Effects from Bodily Sensations: Examining Bodily Sensations Induced by Flooring and the Moderating Role of Product Viewing Distance," *Journal of Consumer Research*, 37 (June).

- 18 Mehta, Ravi and Rui (Juliet) Zhu (2009), "Blue or Red? Exploring the Effect of Color on Cognitive Task Performances," *Science*, Published Online February 5, 2009, In print, 28 February, Vol. 323, no. 5918, pp. 1226-1229.

- 19 Levav, Jonathan and Rui (Juliet) Zhu (2009), "Seeking Freedom through Variety," *Journal of Consumer Research*.

- 20 Zhu, Rui (Juliet) and Joan Meyers-Levy (2009), "The Influence of Self-View on Context Effects: How Display Fixtures Can Affect Product Evaluations?" *Journal of Marketing Research*, 46 (February), 37-45.

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- 22 Argo, Jennifer, Rui (Juliet) Zhu, and Darren Dahl (2008), "Fact or Fiction: An Investigation of Empathy Differences in Response to Emotional Melodramatic Entertainment," *Journal of Consumer Research*, 34 (February), 614-623.
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- 23 Kirmani, Amna and Rui (Juliet) Zhu (2007), "Vigilant Against Manipulation: The Effect of Regulatory Focus on the Use of Persuasion Knowledge," *Journal of Marketing Research*, XLIV (November), 688-701.
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- 24 Meyers-Levy, Joan and Rui (Juliet) Zhu (2007), "The Influence of Ceiling Height: The Effect of Priming on the Type of Processing People Use," *Journal of Consumer Research*, 34 (August), 174-186.
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- 25 Zhu, Rui (Juliet) and Joan Meyers-Levy (2007), "Exploring the Cognitive Mechanism that Underlies Regulatory Focus Effects," *Journal of Consumer Research*, 34 (June), 89-96.
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- 26 Zhu, Rui (Juliet) and Joan Meyers-Levy (2005), "Distinguishing Between the Meanings of Music: When Background Music Affects Product Perceptions," *Journal of Marketing Research*, 43 (August), 333-345.



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教授简介

朱阳博士是运营管理教授。他在上海交通大学获得电子工程学士学位后赴美留学，于佛罗里达大学获得经济学硕士和工业与系统工程博士学位，随后在加州大学伯克利分校工业工程与运筹系从事博士后研究。在加入长江商学院之前，他是南加州大学马歇尔商学院数据科学和运营系的终身正教授。

朱教授的论文已发表在各种学术期刊上，包括《管理评论》《美国经济评论》《经济理论》《管理科学》《制造与服务运营管理》《生产与运营管理》《运筹学》和《兰德经济学》等杂志。他也是《管理科学与工程》《欧米茄》《运筹学》《运筹学通信》《制造与服务运营管理》《海军研究物流》《生产与运营管理》等杂志的副主编。

主要研究领域

- 合同理论
- 机制设计
- 博弈论
- 优化等

主要学术成果

- 1 Chu, L. Y., Q. Feng, J. G. Shanthikumar, Z. M. Shen, J. Wu, 2023, "Solving the Price-Setting Newsvendor Problem with Parametric Operational Data Analytics (ODA)," *Management Science*, forthcoming.
- 2 Han, B., L. Y. Chu, T. Sun, L. Wu, 2023, "Commercializing the Package Flow: Cross-sampling Physical Products Through E-commerce Warehouses," *Management Science*, forthcoming.

- 3 Chu, L. Y., Brian Wu, 2023, "Designing Online Platforms for Customized Goods and Services: A Market-Frictions Based Perspective," *Academy of Management Review*, 48(1), pp. 78-99.

- 4 Han, B., L. Wu, T. Sun, L. Y. Chu, Y. Xu, 2022, "Connecting Customers and Merchants Offline: Experimental Evidence from the Commercialization of Last-mile Stations at Alibaba," *MIS Quarterly*, forthcoming.

- 5 Han B., T. Sun, L. Y. Chu, L. Wu, 2022, "COVID-19 and Ecommerce Operations: Evidence from Alibaba," *Manufacturing & Service Operations Management*, 24(3), pp. 1261-1885.

- 6 Chu, L. Y., Y. Rong, H. Zheng, 2022, "Strategic Benefit of Request for Proposal/Quotation," *Operations Research*, 70(3), pp. 1293-1952.

- 7 Chu, L. Y., H. Nazerzadeh, H. Zhang, 2020, "Position Ranking and Auction for Online Marketplaces," *Management Science*, 66(8), pp. 3295-3798.

- 8 Chu, L. Y., G. Li, P. Rusmevichientong, 2018, "Optimal Pricing and Inventory Planning with Charitable Donations," *Manufacturing and Service Operations Management*, 20(4), pp. 601-800.

- 9 Chu, L. Y., N. Shamir, H. Shin, 2017, "Strategic Communication for Capacity Alignment with Pricing in a Supply Chain," *Management Science*, 63(12), pp 4366-4388.

- 10 Chu, L. Y., Y. Wang, 2015, "Bundled Procurement for Technology Acquisition and Future Competition," *Manufacturing and Service Operations Management*, 17(2), pp. 249-261.

- 11 Chu, L. Y., G. Lai, 2013, "Salesforce Contracting under Demand Censorship," *Manufacturing and Service Operations Management*, 15(2), pp. 320-334.

- 12 Chu, L. Y., H. Zhang, 2011, "Optimal Pre-order Strategy with Endogenous Information Control," *Management Science*, 57(6), pp. 1055-1077.

- 13 Chu, L. Y., Z. M. Shen, 2010, "A Power-of-two Ordering Policy for One-Warehouse Multi-Retailer Systems with Stochastic Demand," *Operations Research*, 58(2), pp. 492-502.

- 14 Chu, L. Y., 2009, "Truthful Bundle/Multi-unit Double Auctions," *Management Science*, 55(7), pp. 1184-1198.

- 15 Chu, L. Y., Z. M. Shen, 2008, "Truthful Double Auction Mechanisms," *Operations Research*, 56(1), pp. 102-120.

- 16 Chu, L. Y., D. E. Sappington, 2007, "Simple Cost-Sharing Contracts," *American Economic Review*, 97(1), pp. 419-428.

- 17 Chu, L. Y., Z. M. Shen, 2006, "Agent Competition Double Auction Mechanism," *Management Science*, 52(8), pp. 1215-1222.

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陈雅如是康奈尔大学约翰逊管理学院 Nicholas H. Noyes 管理学与全球商务讲席教授及新兴市场研究中心的创始人。现任约翰逊管理学院中国 EMBA 项目学术主任及中国事务副院长，享有终身讲席正教授。陈教授拥有哥伦比亚大学心理学的博士学位。多年以来她在哥伦比亚商学院 (Columbia Business School)，纽约大学斯特恩商学院 (Stern School of Business at New York University)，以及罗格斯商学院 (Rutgers Business School) 教授过的课程包括全球经理人与谈判者；全球领导力；跨文化管理；组织与团队管理等。

陈教授与“谈判”及“领导力”领域的高级经理人有着广泛的联系，她的客户包括海航集团、复星集团、IBM、辉瑞公司、AT&T、摩托罗拉、花旗银行、葛兰素史克、摩根士丹利、高盛、台湾的联发科技，以及中国的联想、强生、联通和米其林公司。她的著作被全球顶级学术刊物录用。其著作分别于 2002 年获得过美国管理学会颁发的组织行为最佳论文奖，2008 年中国管理研究国际学会颁发的最佳微观管理论文奖，2011 年约翰逊管理学院教授学术研究奖，及 2014 年中国千人计划管理学专家奖。

聆听陈教授课程的高级管理人员经常对她在培训中表现出的富于挑战性的教学方式和热情的互动交流倍加赞赏。陈教授相信，高质量的培训课程应该不仅能促使学员深入理解谈判与领导力的基本要领，而且也能帮助他们深化对自身能力及个性上优势及劣势的认知。

主要研究领域

陈教授潜心研究“谈判”及“全球领导力”的知识以及这些知识在实际商场和生活上的最佳实践。此外，她对团体组织内部权力地位的关系互动和维持，以及内部权力地位结构对公司运行的影响的研究也同样声名卓著。

主要学术成果

- 1 Chen, Y., Chen, X., & Portnoy, R. (2009). To whom do the positive and negative reciprocity apply? Inequitable offers: Culture, emotion, and reciprocity. *Journal of Experimental Social Psychology*, 45, 24-34.

- 2 Brewer, M.B., & Chen, Y. (2007). Where (and who) are collectives in collectivism: Toward conceptual clarification of individualism and collectivism. *Psychological Review*, 114, 133-151.

- 3 Brockner, J., De Cremer, D., van den Bos, K., & Chen, Y. (2005). To whom does the relational model of procedural fairness apply? The moderating influence of interdependent self-construal. *Organizational Behavior and Human Decision Processes*, 96, 155-167.

- 4 Chen, C., Chen, Y., & Xin, K. (2004). Guanxi practices and trust in management: A procedural justice perspective. *Organization Science*, Special Issue on Corporate Transformations in the People's Republic of China.

- 5 Morrison, E.W., Chen, Y., & Salgado, S. (2004). Cultural differences in newcomer feedback seeking: A comparison of the United States and Hong Kong. *Applied Psychology: An International Review*, 53, 1-22.

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- 7 Chen, Y., Brockner, J., & Chen, X. (2002). Individual-collective primacy and ingroup favoritism: Enhancement and protection effects. *Journal of Experimental Social Psychology*, 38, 482-491.

- 8 Mezas, S., Chen, Y., & Murhpy, P. (2002). Aspiration-level adaptation in an American financial services organization: A field study. *Management Science*, 48, 1285-1300. [equal authorship between the first two authors]

- 9 Mezas, S., Chen, Y., Murphy, P., Biaggio, A., Chuawanlee, W., Hui, H., Starr, S., & Okumura, T. (2002). National cultural distance as liability of foreignness: The issue of level of analysis. *Journal of International Management*, 8, 407-421. [equal authorship between the first two authors]

- 10 Brockner, J., Chen, Y., Mannix, E. A., Leung, K., & Skarlicki, D. (2000). Culture and procedural justice: When the effects of what you do depend upon how you do it. *Administrative Science Quarterly*, 45, 138-159. (equal authorship between the first two authors)
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- 11 Chen, Y., Brockner, J., & Katz, T. (1998). Toward an explanation of cultural differences in ingroup favoritism: The role of individual versus collective primacy. *Journal of Personality and Social Psychology*, 75, 1490-1502.
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- 12 Mezas, S., Chen, Y., & Murphy, P. (1999). Toto, I don't think we're in Kansas anymore: Some footnotes to cross-cultural research. *Journal of Management Inquiry*, 8, 323-333. (equal authorship between the first two authors)
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- 13 Brockner, J., & Chen, Y. (1996). The moderating roles of self-esteem and self-construal in reaction to a threat to the self: Evidence from the People's Republic of China and the United States. *Journal of Personality and Social Psychology*, 71, 603-615.
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- 14 Chen, Y., & Church, A. (1993). Reward allocation preferences in groups and organizations. *International Journal of Conflict Management*, 4, 25-59.





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教授简介

赵东成博士现任长江商学院战略学访问教授，以及首尔国立大学战略、国际商务、管理设计及可持续发展荣誉教授。此前，他曾在哈佛商学院、欧洲工商管理学院（INSEAD）、赫尔辛基经济学院、东京大学、一桥大学、密歇根大学、杜克大学、北京大学、浙江大学和南开大学任教。他还拥有仁济大学（釜山）和阿尔托大学（赫尔辛基）名誉博士学位。

在首尔国立大学工作期间，赵东成教授曾在 2001-2003 年间任工商管理学院院长，以及在 1999-2001 年间担任国际与区域研究研究生院院长；同时，他还是韩国资金筹募领域的“先锋”，曾担任首尔国立大学发展基金会创始董事总经理。此外，赵东成教授曾担任“韩国工商管理学术学会（代表韩国工商管理领域 87 个学术团体的旗舰组织）”会长，以及“韩国学术团体协会（涵盖人文科学、社会科学、自然科学、工程和其他 651 个学会）”主席。赵东成教授是 15 家跨国公司和研究机构的董事会成员，其中包括起亚汽车、东洋证券、韩国轮胎，以及韩国电力公司等，并为从三星、LG 到大韩航空和国民银行等多个领域的多家企业提供咨询。赵东成教授还曾担任韩国贸易委员会处长（2002 - 2005）、政府创新与管理委员会主席（2005 - 2007），并于 2006-2008 年间与韩国总理共同担任“大型与小型企业协作委员会”联席主席。他还是韩国国家竞争力总统委员会常务理事，并在其下属制度改革委员会担任主席（2008 - 2013）。

2007 年，韩国总统授予赵东成教授“黄条勤政勋章（the Order of Service Merit - Yellow Stripes）”，这是向政府官员颁发的最高荣誉之一；他还分别在 2004 年和 2000 年分别被授予“总统奖（Presidential Honor）”及“产业勤政勋章（the Order of Industrial Merit）”。学术界多次对赵东成教授的杰出学术成就授予奖项，如每日经济日报（Maeil Economic Daily）的经济学奖、韩国工业联合会的“自由经济出版文化奖”，和韩国管理协会的年度最佳商业 / 经济图书奖等。

主要研究领域

- 战略制定与实施
- 竞争策略
- 企业精神与文化
- 创业
- 政企关系
- 国际商业运作
- 合资经营
- 出口与国际营销
- 国际资源开发等

主要学术成果

赵东成博士撰写或参与撰写了 61 本专著，其中 17 部用英语出版，包括《The General Trading Company》（Lexington Books, 1986）、《Tiger Technology: the Rise of the Semiconductor Industry in Asia》（Cambridge University Press, 1999）、《From Adam Smith to Michael Porter: Evolution of Competitiveness Theory》（World Scientific, 2000）、《Design Management, Management Design》（SNU Press, 2011）和《International Review of National Competitiveness: A Detailed Analysis of Sources and Rankings》（Edward Elgar, 2013）等。



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